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Development of a Statement on Autonomous Practice: Practice Committee, Section on Geriatrics

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Special Interest Paper: Development of a Statement on Autonomous Practice

Practice Committee, Section on Geriatrics

1 Introduction

2 Vision 2020¹ is the official vision statement of the American Physical Therapy
3 Association (APTA). Drafted by the APTA House of Delegates in 2000, this statement contains
4 6 elements: autonomous physical therapist practice, direct access, Doctor of Physical Therapy
5 and lifelong education, evidence-based practice, practitioner of choice, and professionalism.
6 Autonomous practice has been operationally defined by the APTA primarily through its
7 characteristics: “Physical therapists accept the responsibility to practice autonomously and
8 collaboratively in all practice environments to provide best practice to the patient/client.
9 Autonomous physical therapist practice is characterized by independent, self-determined,
10 professional judgment and action.” The Section on Geriatrics has undertaken the endeavor to
11 further elucidate this definition to educate and empower physical therapists to practice
12 autonomously for the benefit of their patients/clients and society.

13 The purpose of this paper is to support the Section on Geriatrics Strategic Plan 2010-12,
14 particularly in addressing Major Goal 1: Promote and support autonomous physical therapist
15 practice with the aging population, Objective 1.1: Promote and facilitate autonomous practice
16 across various settings and employment arrangements, Strategy b: Adopt a section statement on
17 autonomous practice in geriatric physical therapy.

18 In 2010, the Practice Committee (the Committee) began the charge of the Section on
19 Geriatrics to produce a statement on autonomous practice. The Committee chose an iterative
20 process based on that used by the Neurology Section beginning in 2007 that included a forum²
21 and culminated in a statement³ and perspective piece⁴ on autonomous practice in neurologic

22 physical therapy. The process began with discussions of autonomy based on independent
23 readings on autonomy and committee members' personal experience across various practice
24 settings and employment arrangements. A compilation of this preliminary work was presented at
25 the APTA Combined Sections Meeting 2011.⁵ The intent of this project was to use a consensus-
26 based process to include not only the perspectives within the Committee, but also input from
27 interested members; accordingly, comments and feedback were then solicited on the Web site of
28 the Section on Geriatrics and by email to Section members using an online discussion board over
29 a 3-month period. Twenty-four comments were received. Responses tended to fall into 2 broad
30 categories: substantive (in which members reflected on their own developing understanding of
31 autonomous practice, responded directly to an aspect of the Committee's preliminary work, or
32 both) and supportive (in which members expressed views that were generally consistent with the
33 Committee's preliminary work). The Committee reviewed these comments, continued the group
34 process, and then produced the final statement (Table 1).

35 **Explanation of Statement**

36 **Item 1**

37 First, autonomous practice means practice in collaboration with the patient/client and
38 other providers as equals, not practice in isolation from other providers. This interpretation is
39 supported by the Vision 2020¹ definition of autonomous practice, which specifically identifies
40 autonomous practice as a collaborative process, as well as the Vision 2020 definition of
41 professionalism, which explicitly cites the need to work together with other professionals. Thus,
42 autonomous practice is collaborative practice among members of the patient/client-centered
43 health care team. In this model, the physical therapist functions not as a technician, subordinate
44 to and relying on the direction of another provider, but rather as a professional, practicing to the

45 fullest potential of his or her education and scope of practice. For example, a physical therapist
46 would not carry out an intervention that he or she knew to be contraindicated by the
47 patient's/client's condition; instead, he or she would discuss the contraindication with the
48 patient/client and the referring provider (if practicing by referral) to determine the most
49 appropriate course of action. The physical therapist would then accept full accountability for the
50 success or failure of the determined course of action.

51 **Item 2**

52 Second, autonomous practice is distinct from, and possible in the absence of, direct
53 access. Direct access is defined in this way: "Every consumer has the legal right to directly
54 access a physical therapist throughout his/her lifespan for the diagnosis of, interventions for, and
55 prevention of, impairments, functional limitations, and disabilities related to movement, function
56 and health."¹ Whether a person is able to access a physical therapist directly or whether he or she
57 accesses services through referral, when the physical therapist acts in concert with the
58 patient/client to exercise clinical judgment, then a first step toward autonomy has taken place.
59 When the physical therapist takes action toward the chosen judgment in a professional manner,
60 including collaboration with the patient/client and all those involved in the patient's/client's care,
61 then a second step has been taken toward autonomy in practice. If the physical therapist
62 understands that a referral is a request for consultation rather than an order for what is to be
63 performed, then autonomy is possible. A physical therapist acting in a purely technical role does
64 not exercise independent clinical judgment or action and does not accept accountability for the
65 actions chosen, instead deferring responsibility back to the referring provider or the patient/client
66 for failed interventions. A physical therapist acting autonomously exercises independent clinical
67 judgment and action (through referral or not) and accepts accountability for actions chosen.

68 Direct access and autonomous practice are both a benefit to the patient/client, but direct access is
69 merely a mechanism by which services are accessed, while autonomous practice is the level at
70 which the practitioner chooses, and is able, to provide services.

71 **Item 3**

72 Third, autonomous practice is a characteristic of entry-level clinical practice as well as
73 advanced clinical practice. Autonomous practice should begin in the physical therapist education
74 program and progress through the individual physical therapist's ongoing professional
75 development, including formal education (eg, residencies, fellowships, additional degrees),
76 mentorship, and clinical experience. The document "Professional Behaviors for the 21st
77 Century,"⁶ based on the earlier work, the "Generic Abilities," of the faculty of the physical
78 therapist education program at the University of Wisconsin-Madison, incorporates the concept of
79 a progression in professional behaviors from beginning-level, intermediate-level, and entry-level
80 to post-entry-level practice. As with professional behaviors, autonomous behaviors require
81 clarification and role modeling by faculty and clinical instructors. Students need to be
82 empowered in their learning such that decision making, action on decisions, and accountability
83 become a natural phenomenon. The fostering of interdisciplinary education also helps form a
84 basis for the collaborative spirit of autonomy while building trust and understanding with other
85 health care professionals. Students need to be given the opportunity to test their abilities to
86 practice autonomously and be accountable to that standard through self-reflection and feedback
87 from faculty and clinical instructors.

88 **Item 4**

89 Fourth, autonomous practice is possible for physical therapist practice in all settings and
90 areas of practice in today's health care environment. This assertion is supported by the Vision

91 2020¹ definition of autonomous practice, which includes the language “in all practice
92 environments.” Practicing autonomously consists of 2 steps. First, the practitioner must
93 demonstrate a willingness to reject dependence and accept accountability for decisions and
94 actions. As such, autonomy begins at the level of the individual practitioner, with this
95 willingness as an internal factor. Second, the practitioner must translate this willingness into
96 decisions and actions in collaboration with the patient/client within the context of the health care
97 environment, which is subject to external factors. While autonomous practice does not differ
98 across practice settings or areas of clinical practice, external factors can influence the degree to
99 which autonomy is possible in any given situation. For example, there may be greater access to
100 physicians and other providers in the hospital setting, providing greater opportunities for
101 communication and thereby facilitating the collaborative process. In a private practice, there may
102 be less capability for instant access to other providers for collaboration, making this aspect of
103 autonomous practice less likely, but the physical therapist in a private practice may have greater
104 control over needed resources and economic decision making than those in acute care.

105 Thus, autonomy is not a static all-or-none dichotomy, but rather is a matter of degree
106 based on the environment and opportunities that exist at a particular time. External factors that
107 impact the practice environment range from the micro level (eg, the autonomy of others,
108 including patients/clients, other health care providers, and administrators; characteristics of
109 specific provider agencies) to the macro level (eg, decisions made by other health professions,
110 insurance companies, and government agencies; regulatory issues; and reimbursement models).
111 For example, consider these 2 indicators of “Integrity” from “Professionalism in Physical
112 Therapy: Core Values”⁷: “11. Choosing employment situations that are congruent with practice
113 values and professional ethical standards” and “12. Acting on the basis of professional values

114 even when the results of the behavior may place oneself at risk.” Health care coverage, benefits,
115 and other resources impact decision making and care; however, while these external forces can
116 serve as facilitating or constraining factors, they do not stand in the way of the practitioner’s
117 choice to practice autonomously, nor do they exempt the practitioner from accepting
118 accountability and engaging in advocacy when needed. Autonomous practice can exist despite
119 external factors in the health care realm, but these external factors can influence how easy or
120 difficult autonomous practice is for the physical therapist, the availability of needed resources,
121 and ultimately the range of outcomes that are possible. In this sense, autonomy means providing
122 the care that individuals deserve to the best of one’s ability and striving to do so even in the face
123 of constraints within the health care realm.

124 **Item 5**

125 Finally, autonomous practice has key aspects that are correlated with the core values of
126 professionalism in physical therapy. In the preliminary stages of the Committee’s work, multiple
127 sources pertaining to autonomous practice^{1,8-11} were reviewed and discussed, with each
128 Committee member bringing his or her personal knowledge and experience regarding
129 autonomous practice to the discussion. Documents related to professionalism in physical
130 therapy^{1,6,7} were also reviewed by the Committee because of a recognition that much of the
131 discussion surrounded concepts related to professionalism as well. Professionalism is defined in
132 multiple sources, including Vision 2020¹ and “Professionalism in Physical Therapy: Core
133 Values,”⁷ by the APTA, as well as in “Professional Behaviors for the 21st Century.”⁶
134 “Professionalism in Physical Therapy: Core Values” identifies 7 core values that comprise
135 professionalism: accountability, altruism, compassion/caring, excellence, integrity, professional

136 duty, and social responsibility. Each of these values, in turn, is defined, and sample indicators are
137 given.

138 Through discussion and consensus, the Committee identified a number of similar aspects
139 of autonomous practice embedded within the existing core values. Table 2 outlines the 12
140 aspects identified, including (1) accountability; (2) advocacy; (3) caring; (4) collaboration; (5)
141 communication; (6) ethics; (7) evidence-based practice; (8) excellence; (9) lifelong
142 learning/continued competence; (10) provision of excellent, patient-centered care that addresses
143 the whole person; (11) screening for referral/clinical decision making/ differential diagnosis; and
144 (12) serving as a role model. The Committee acknowledges that autonomous practice and
145 professionalism are distinct concepts; however, the recognition that, in demonstrating the
146 behaviors of professionalism in physical therapy, each practitioner is simultaneously fostering
147 the ability to practice autonomously is important.

148 **Clinical Relevance**

149 As the profession of physical therapy continues to move toward the fulfillment of Vision
150 2020,¹ physical therapists must understand the elements and apply them to their clinical practice.
151 One of the elements of APTA Vision 2020 is autonomous practice. In today's health care
152 environment, autonomy exists through collaboration with the individuals we serve and other
153 health care professionals and has a foundation in the core values of professionalism in physical
154 therapy. In a sense, autonomous practice is professionalism in action. Autonomous practice is
155 possible in every practice setting regardless of practitioner experience level, employment status,
156 or direct access capacity.

157 This statement on autonomous practice is intended to educate and empower physical
158 therapists to practice autonomously for the benefit of their patients/clients and society. Far from

159 being an esoteric ideal, autonomous practice is a model of routine clinical practice that is not
160 only pragmatic, but also essential for the provision of optimal patient/client care. Some physical
161 therapists may be surprised to discover that, in fact, they are already practicing autonomously.
162 We hope that this recognition inspires them to serve as role models for their peers as the
163 profession of physical therapy continues to reach the full realization of Vision 2020.¹

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