

2016

# Adult neural stem cell differentiation and signaling is disrupted by low-level silver nanoparticle exposure in vitro

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ADULT NEURAL STEM CELL DIFFERENTIATION AND SIGNALING IS DISRUPTED  
BY LOW-LEVEL SILVER NANOPARTICLE EXPOSURE *IN VITRO*.

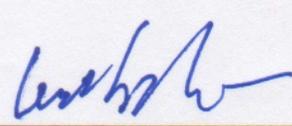
A thesis submitted to  
the Graduate College of  
Marshall University  
in partial fulfillment of  
the requirements for the degree of  
Master of Science  
in  
Biological Sciences  
by  
Robert Jefferson Cooper  
Approved by  
Dr. Nadja Spitzer, Committee Chairperson  
Dr. Guo-Zhang Zhu  
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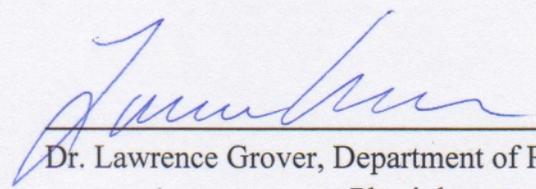
Marshall University  
May 2016

APPROVAL OF THESIS

We, the faculty supervising the work of Robert Jefferson Cooper, affirm that the thesis *Adult Neural Stem Cell Differentiation and Signaling is Disrupted by Low-Level Silver Nanoparticle Exposure in Vitro*, meets the high academic standards for original scholarship and creative work established by the Department of Biological Sciences and the College of Science. This work also conforms to the editorial standards of our discipline and the Graduate College of Marshall University. With our signatures, we approve the manuscript for publication.

  
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## **ACKNOWLEDGEMENTS**

This work was supported by funding from NSF cooperative Agreement Award number EPS-1003907. The author was supported by the NASA West Virginia Space Grant Consortium. Comet assays and Western blots for this project were performed by Nadja Spitzer and are reproduced here with permission. Amy Parsons-White provided maintenance of neurosphere cultures and exceptional technical assistance. Many thanks to Dusty Vance and Maya Menking-Hoggatt for assistance in performing experiments. Thank you to Ian Waddell, Kristen Chaffins, Samantha Clay, and Tamara Westfall for their help through the Teacher Research Experience for the advancement of Knowledge (TREK) Program at Marshall University. Thank you to Dr. Zhu, Dr. Grover, and Dr. Antonsen for their feedback, and for their excellent advice and guidance. Thank you to all the members of the Spitzer lab for their constant support. Finally, a very heartfelt thank you to Dr. Spitzer for all you have taught me, for all the time and energy you've devoted to me, and for always believing in me.

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## LIST OF ABBREVIATIONS

Ag <sup>+</sup>	ionic silver
AgNP	silver nanoparticles
Ag <sub>2</sub> S-NP	silver sulfide (Ag <sub>2</sub> S) nanoparticles
APC	adenomatus polyposis coli
ATP	adenosine triphosphate
bFGF	basic fibroblast growth factor
BrdU	bromodeoxyuridine
BSA	bovine serum albumin
cAMP	cyclic adenosine monophosphate
CNS	central nervous system
CREB	cAMP response element-binding protein
CSF	cerebrospinal fluid
DCX	doublecortin
DMEM	Dulbecco's modified eagle medium
DOM	dissolved organic matter
DTE	dithioerythritol
DTT	dithiothreitol
EDTA	ethylenediaminetetraacetic acid
EGF	epidermal growth factor
EGTA	ethylene glycol tetraacetic acid
ERK	extracellular signal-regulated kinase
FOXO	forkhead box type O
GABA	γ-aminobutyric acid
GAPDH	glyceraldehyde 3-phosphate dehydrogenase
GFAP	glial fibrillary acidic protein
GSK3β	glycogen synthase kinase 3β
HRP	horse radish peroxidase
ICC	immunocytochemistry
IHC	immunohistochemistry
IP injection	intraperitoneal injection
LV	lateral ventricle
OB	olfactory bulb
MAP2	microtubule-associated protein 2
MAPK	mitogen-activated protein kinase
NCAM	neural cell adhesion molecule
NEAA	non-essential amino acids
NGS	normal goat serum
NSC	adult neural stem cells
PBS	phosphate buffered saline
RMS	rostral migratory stream
ROS	reactive oxygen species
RT-PCR	reverse transcription polymerase chain reaction
SVZ	subventricular zone
TCF/LEF	T-cell factor/lymphoid enhancement factor

## ABSTRACT

Silver nanoparticles (AgNP) are an emerging environmental contaminant with unique chemical and physical properties. They are utilized in products like medical dressings and children's toys for their antimicrobial action. Though AgNP's bioaccumulative nature and high-level toxicity are established, low-level effects from chronic exposure to AgNP-containing products and environmental AgNP remain unclear. This study uses adult neural stem cells, a model for neural cell function and neurodevelopment, to assess changes in cell differentiation and behavior following low-level AgNP exposure. *In vitro*, low-level AgNP produced dose- and time-dependent formation of co-localized f-actin inclusions and  $\beta$ -catenin puncta. Neurite extension and arborization were also reduced, indicating that AgNP disrupt cytoskeleton dynamics and  $\beta$ -catenin signaling. *In vivo*, AgNP treatment caused accumulation and persistence of Ag in brains at levels comparable to *in vitro* studies without overt toxicity. Together, this indicates that low-level AgNP exposure from consumer products may impair normal brain function and neurodevelopment.

## **CHAPTER 1: BACKGROUND AND SIGNIFICANCE**

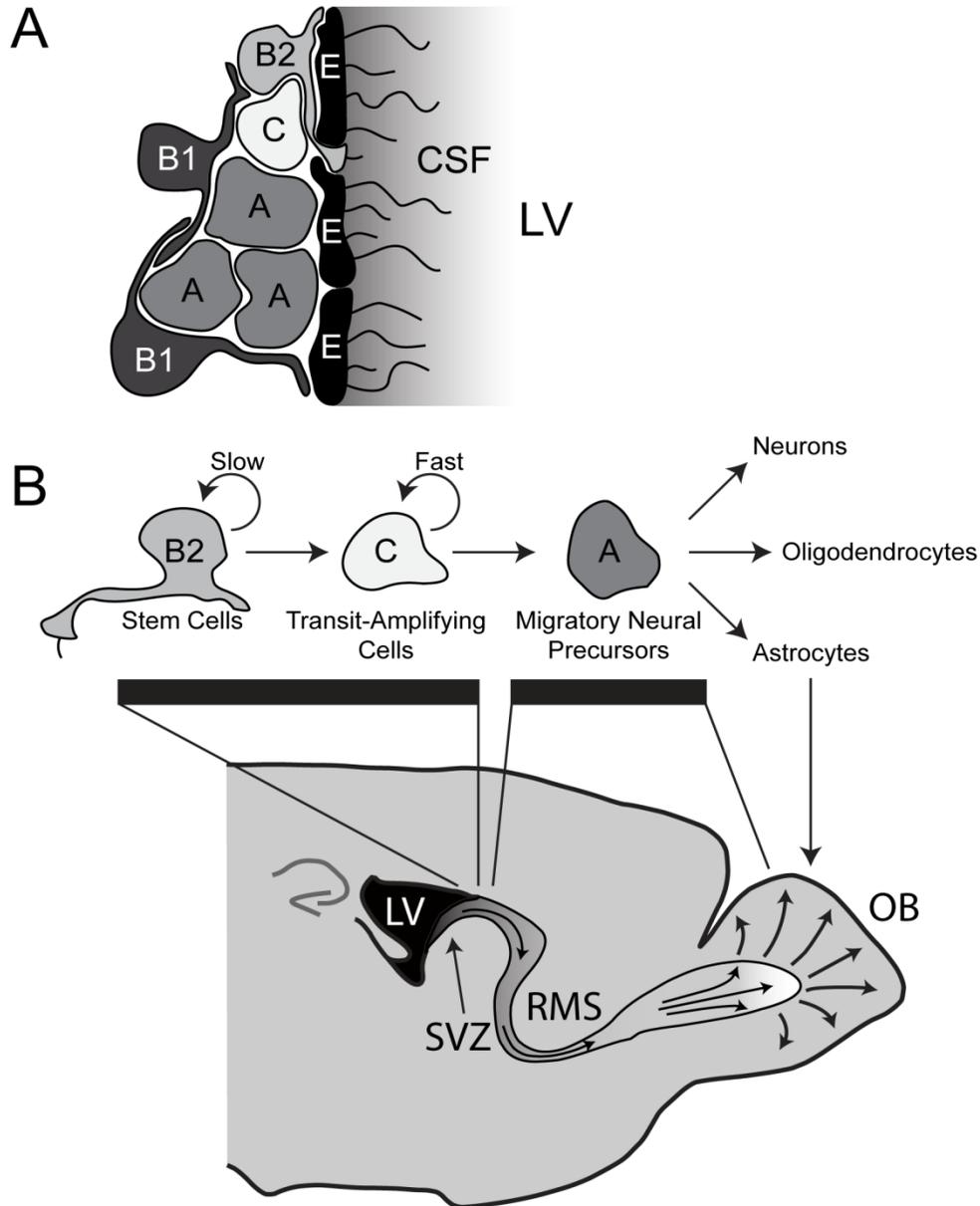
### **1.1 ADULT NEURAL STEM CELLS**

Stem cells occupy multiple niches in the adult body, maintaining populations and aiding in repair and upkeep. Only recently has the idea of stem cells existing in the postnatal brain taken root. Initial work revealed their presence in the hippocampus of postnatal rat brains (Altman and Das, 1965). However, at the time it was thought that these cells merely proliferated and underwent apoptosis without differentiating. Soon thereafter, evidence was found to indicate that these adult neural stem cells (NSC) also existed in the olfactory ventricles of neonate rats (Altman and Das, 1966). Even more astonishing, NSC migrate to the olfactory bulb and differentiate into granule cells (Altman and Das, 1966). However, the authors concluded that these NSC were only capable of becoming short-axon neurons, and that any cells with longer extensions were formed during prenatal development. Subsequent work identified similar processes in neonate guinea pigs (Altman and Das, 1967), demonstrating that postnatal neurogenesis occurs in the brains of multiple rodent species, not only rats. Postnatal neurogenesis has since been documented in many organisms, including fish (Anderson and Waxman, 1985), reptiles (Garcia-Verdugo et al., 1989; Wang and Halpern, 1988), birds (Alvarez-Buylla, 1990; Alvarez-Buylla and Nottebohm, 1988; Alvarez-Buylla et al., 1990), and terminally-ill human cancer patients (Eriksson et al., 1998), indicating that postnatal neurogenesis is not a rodent- or even mammal-specific process.

Analysis of the structure of the neurogenic niche in the subventricular zone (SVZ) led to the discovery of distinct subpopulations of cells, existing side-by-side at various stages of differentiation (Doetsch et al., 1997) (Figure 1). So-called B2 astrocytes have been identified as the stem cells in the SVZ niche (Doetsch et al., 1999), with their proliferative activity, expression

of stem markers, and localization to the SVZ differentiating them from regular B1 astrocytes. B2 astrocytes give rise to Type C cells, or rapidly dividing transit-amplifying cells (Doetsch et al., 1997) that differentiate into Type A cells. Type A cells are migratory neural progenitor cells positive for polysialylated neural cell adhesion molecule (NCAM) and  $\beta$ -tubulin III (Doetsch and Alvarez-Buylla, 1996). NCAM is associated with endogenous repair following nervous injury (Caubit et al., 1993), plasticity, and learning (Cremer et al., 1994), and  $\beta$ -tubulin III is a marker for immature neuronal precursor cells. Type A cells move through the rostral migratory stream sheathed in a guiding scaffold of B1 astrocytes and begin differentiation into neuronal or glial phenotypes (Luskin, 1993; Luskin and Boone, 1994). Once they arrive at the olfactory bulb, Type A cells complete differentiation and integrate into existing circuitry (Doetsch et al., 1997).

Early evidence of adult neurogenesis function came from research with songbirds. Neurons generated from NSC were suggested to be instrumental in learning (Nordeen and Nordeen, 1990; Nottebohm, 1989). Specifically, they were believed to aid in the wiring of new motor pathways and enable animals to learn new songs. Further, newly-generated neurons were believed to be involved in modulation of these pathways by altering the wiring between various song nuclei and the central efferent motor pathway during seasonal changes (Alvarez-Buylla, 1992). Later work used bromodeoxyuridine (BrdU), a thymidine analog that labels dividing cells, and found that mice with access to running wheels have higher numbers of BrdU-positive cells, indicating greater NSC proliferation and elevated levels of neurogenesis following exercise memory (van Praag et al., 1999). Further, their hippocampal neurons exhibited enhanced long term potentiation, a synaptic-strengthening process associated with the ability to learn (van Praag et al., 1999). Therefore, mice with increased neurogenesis exhibited an increased ability to learn.



**Figure 1: Schematic representation of NSC differentiation and localization in the adult rodent brain.** **A:** Organization of astrocytes (B1) and stem (B2), transit-amplifying (C), and migratory precursor (A) cells adjacent to ependymal cells (E) lining the lateral ventricle (LV) filled with cerebrospinal fluid (CSF). Coronal view. **B:** Stem cells, also called B2 astrocytes, slowly undergo asymmetric proliferation in the subventricular zone (SVZ) of the lateral ventricles, undergoing self-maintenance and producing rapidly dividing C-type cells. C-type cells differentiate into A-type cells, migratory neural precursor cells that migrate down the rostral migratory stream (RMS). There, they are sheathed in B1 astrocytes acting as a scaffold to guide A-type cells to their destination. En route, A-type cells continue differentiating. They arrive in the olfactory bulb (OB) after several days and complete differentiation into neurons, oligodendrocytes, or astrocytes, and integrate into existing circuitry. Sagittal view. Illustration © Robert Cooper, 2016.

This finding implicated adult neurogenesis as a part of healthy brain function, and a component of learning and memory.

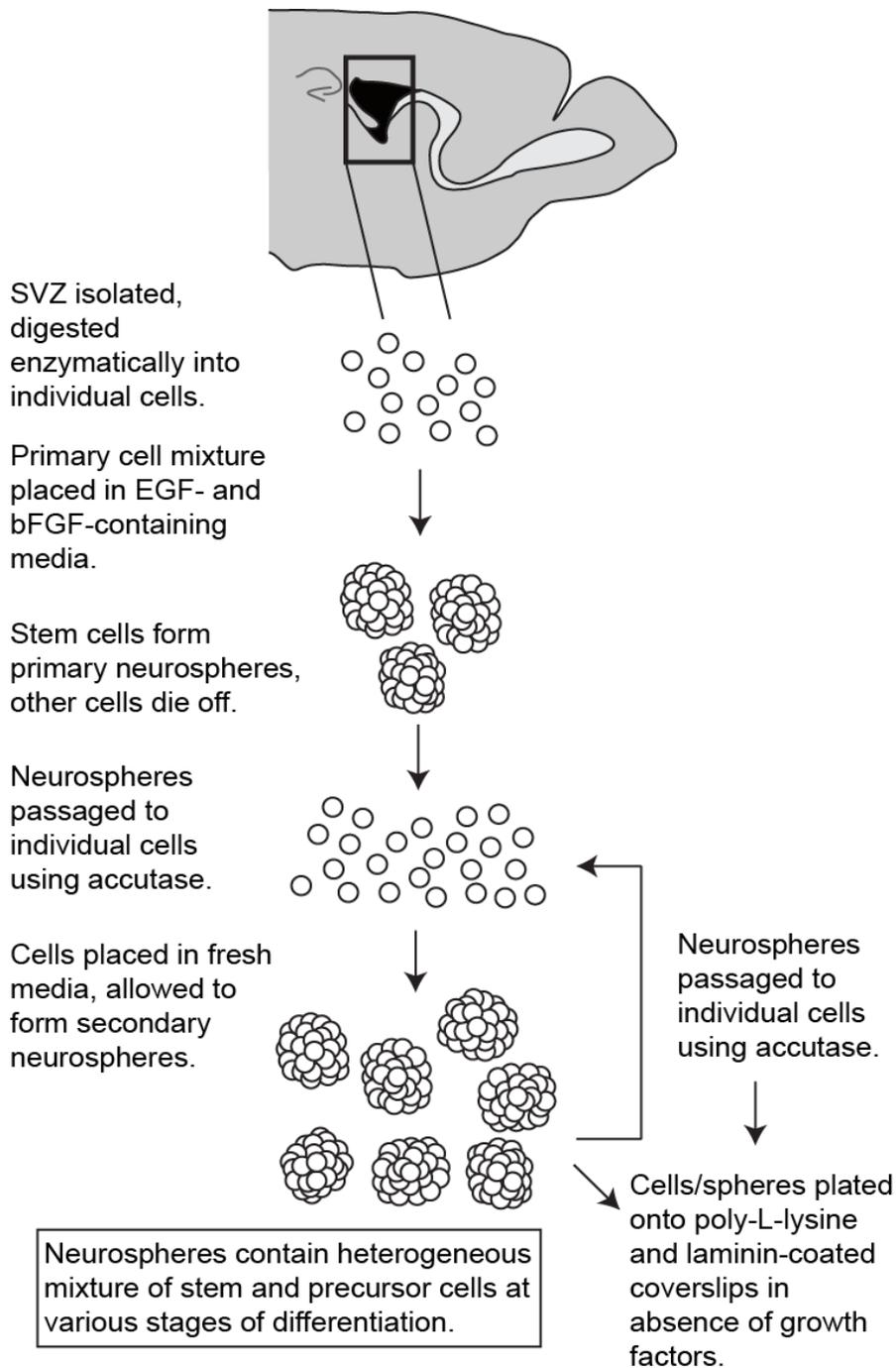
Adult neurogenesis is involved in several other basic processes, chief among them mood stability (Gage, 2000). Stress decreases adult neurogenesis (Tanapat et al., 1998), and this decrease is thought to be linked to mood disorders such as depression (Jacobs et al., 2000). Antidepressant treatment increases NSC proliferation and neurogenesis (Malberg et al., 2000), and neurogenesis is sensitive to serotonergic (Benninghoff et al., 2010; Gerard et al., 1994) and dopaminergic (Baker et al., 2004; Sui et al., 2012) signaling, two pathways commonly targeted by mood-altering drugs. However, some argue that neurogenesis is not directly involved in depression, nor is its dysfunction necessary for a depressive state (Jedynak et al., 2014). Still, the general consensus seems to be that neurogenesis is somehow involved in mood stability, but the mechanism by which neurogenesis alters mood is poorly understood (Miller and Hen, 2015).

NSC are also involved in endogenous repair following brain injury. Numerous studies have observed marked increases in hippocampal and SVZ neurogenesis following traumatic brain injury in rats (Chirumamilla et al., 2002; Dash et al., 2001; Rice et al., 2003; Sun et al., 2005a). In the SVZ, NSC from post-ischemic rats migrate at a greater rate than those from normal brains (Zhang et al., 2007). Further, SVZ NSC in brain slices taken from post-ischemic rats migrate towards sites of damage instead of towards the olfactory bulb, continuing to undergo cell division once they reach their target (Zhang et al., 2007). Postmortem brains from humans with traumatic brain injury showed an accumulation of cells at the edges of the damaged regions expressing NSC markers (Zheng et al., 2013). Finally, application of bFGF, a growth factor known to enhance NSC proliferation, increased these effects and improved recovery rates of subjects (Sun et al., 2009), demonstrating increased successful repair. Overall, these studies

clearly demonstrate that NSC in the adult brain play a role in the body's attempts at endogenous brain repair following injury.

NSC can also be a useful tool. They can be isolated from neurogenic niches of rodents, generally the SVZ, and induced to continuously proliferate in culture in the presence of EGF and bFGF (Reynolds and Weiss, 1992; Wachs et al., 2003) (Figure 2). The resulting neurospheres of cells are composed of a heterogeneous mixture of subpopulations of stem cells in various stages of differentiation (Mokry et al., 1995, 1996). Undifferentiated NSC dominate within neurospheres, and are capable of maintaining their 'stem-ness' for at least two years (Zhou and Chiang, 1998), possibly longer. Importantly, when neurospheres are subjected to growth factor withdrawal and presented with an extracellular matrix such as laminin (Reynolds and Weiss, 1992; Wachs et al., 2003), they will attach, cease proliferating, and individual NSC will begin differentiating and migrating away from the neurosphere. These neurosphere-derived NSC are capable of differentiating into mature phenotypes such as oligodendrocytes, astrocytes, and GABAergic, dopaminergic, and serotonergic neurons (Zhou and Chiang, 1998).

Differentiating cultured adult SVZ NSC recapitulate many of the same pathways present during neurodevelopment and adult neurogenesis (Ge et al., 2008), while producing functional neural cells. Therefore, cultured SVZ NSC offer an invaluable tool to study changes to NSC both *in vitro* and *in vivo*. *In vitro*, changes in NSC fate, behavior, and signaling can be easily and rapidly observed. These results can then be used as predictors to inform studies investigating the same types of cells *in vivo*, or those examining NSC-related processes such as repair and learning. Finally, as SVZ NSC become functionally viable neurons and glia *in vitro* and lack many of the sustainability issues of primary culture, they can be utilized as a rapid, renewable



**Figure 2: Schematic representation of NSC isolation, neurosphere formation and maintenance, and differentiation.** The SVZ is removed and enzymatically digested. The resulting mixture of cells is placed in media containing EGF and bFGF. Primary neurospheres will form from any stem cells, and other cell types will die off. Primary neurospheres are passaged into individual cells again and maintained in growth factors to form secondary neurospheres. Cells in secondary spheres will differentiate following growth factor withdrawal and introduction of an extracellular matrix, such as poly-L-lysine and laminin.

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source of neural cells to investigate general neural cell and brain function.

Therefore, SVZ NSC offer an excellent, sensitive model system to investigate the effects of environmental contaminants on adult neurogenesis and overall brain health at low concentrations. *In vitro* work will allow for the identification of changes to cell behavior and fate following low-level silver nanoparticle exposure, and allow for targeted experiments to determine a molecular mechanism of any observed effects. Further, this will target *in vivo* experiments and provide a framework upon which to build them, allowing for the construction of a comprehensive picture of low-level silver nanoparticles' effects.

## 1.2 SILVER NANOPARTICLES

Silver is a toxic heavy metal that has been used for centuries as an agent to both prevent and treat disease (Moyer et al., 1965; Ricketts et al., 1970; Russell and Hugo, 1994), usually in the form of colloidal elemental ( $\text{Ag}^0$ ) or ionic ( $\text{Ag}^+$ ) silver. Ionic silver is now known to possess oligodynamic activity, effective in fighting viral, fungal, and bacterial infections (Guggenbichler et al., 1999). Several mechanisms have been proposed for its action. The most prominent is that  $\text{Ag}^+$  interacts with the proteins involved with respiration and ATP synthesis in the plasma membrane of bacteria (Bragg and Rainnie, 1974). Another proposed mechanism is direct disruption of the plasma membrane's integrity, causing the cells to lyse (Jung et al., 2008). Finally,  $\text{Ag}^+$  interacts with DNA (Guggenbichler et al., 1999; Kargov et al., 1986) and ribosomal machinery (Yamanaka et al., 2005) directly to disrupt normal transcription and translation of genes associated with metabolism.

In addition, there is a body of research showing that  $\text{Ag}^+$  can exhibit toxic effects on eukaryotic organisms as well. Predominantly, it seems that the mechanism for these effects is to interact with the  $\text{Na}^+/\text{K}^+$ -ATPase and prevent its activity, thereby causing an ion gradient

imbalance within the cells that ultimately leads to either the detriment or death of the organism (Hussain et al., 1994).  $\text{Ag}^+$ -mediated disruption of  $\text{Na}^+/\text{K}^+$ -ATPase has been demonstrated in several organisms, including water fleas (Bianchini and Wood, 2003), sea slugs, shrimp (Bianchini et al., 2005), and fish (Atli and Canli, 2013).  $\text{Ag}^+$  also interacts with other ion pump ATPases, such as the  $\text{Ca}^{2+}$ -ATPase and  $\text{Mg}^{2+}$ -ATPase, to disrupt their activity (Atli and Canli, 2013). Further, it competes for  $\text{Na}^+$  channels to gain entry to cells, simultaneously bypassing membranes and disrupting the normal ion flow (Bury and Wood, 1999). Indeed, it is possible that this is the mechanism by which  $\text{Ag}^+$  is capable of bypassing biological defenses in the human body such as the blood-brain barrier (Rungby and Danscher, 1983; Van Breemen and Clemente, 1955). Never the less, silver remains an attractive antimicrobial agent, as effective antimicrobial concentrations appear to be below those that can cause toxicity in multicellular organisms. With the advent of nanotechnology and silver nanoparticles, silver has seen a sharp increase in its use.

Though exact definitions vary, the most unifying definition of silver nanoparticles (AgNP) is structures of elemental silver with at least one dimension between 1-100nm (Kruszewski et al., 2011). Due to their small size, AgNP have very high surface area-to-volume ratios, thus providing AgNP novel physical and chemical properties. As an example, spherical 40nm AgNP having a surface area-to-volume ratio of  $1.5 \times 10^8$ , and one gram of these particles theoretically contains approximately  $14.3 \text{m}^2$  of available surface area. As with  $\text{Ag}^+$ , the most exploited of AgNP's properties is their potent antimicrobial action (Baker et al., 2005; Panacek et al., 2006; Samuel and Guggenbichler, 2004; Sondi and Salopek-Sondi, 2004). Originally envisioned as a coating for medical catheters (Samuel and Guggenbichler, 2004), AgNP have since been used in other medical applications such as burn dressings, sutures, and surgical

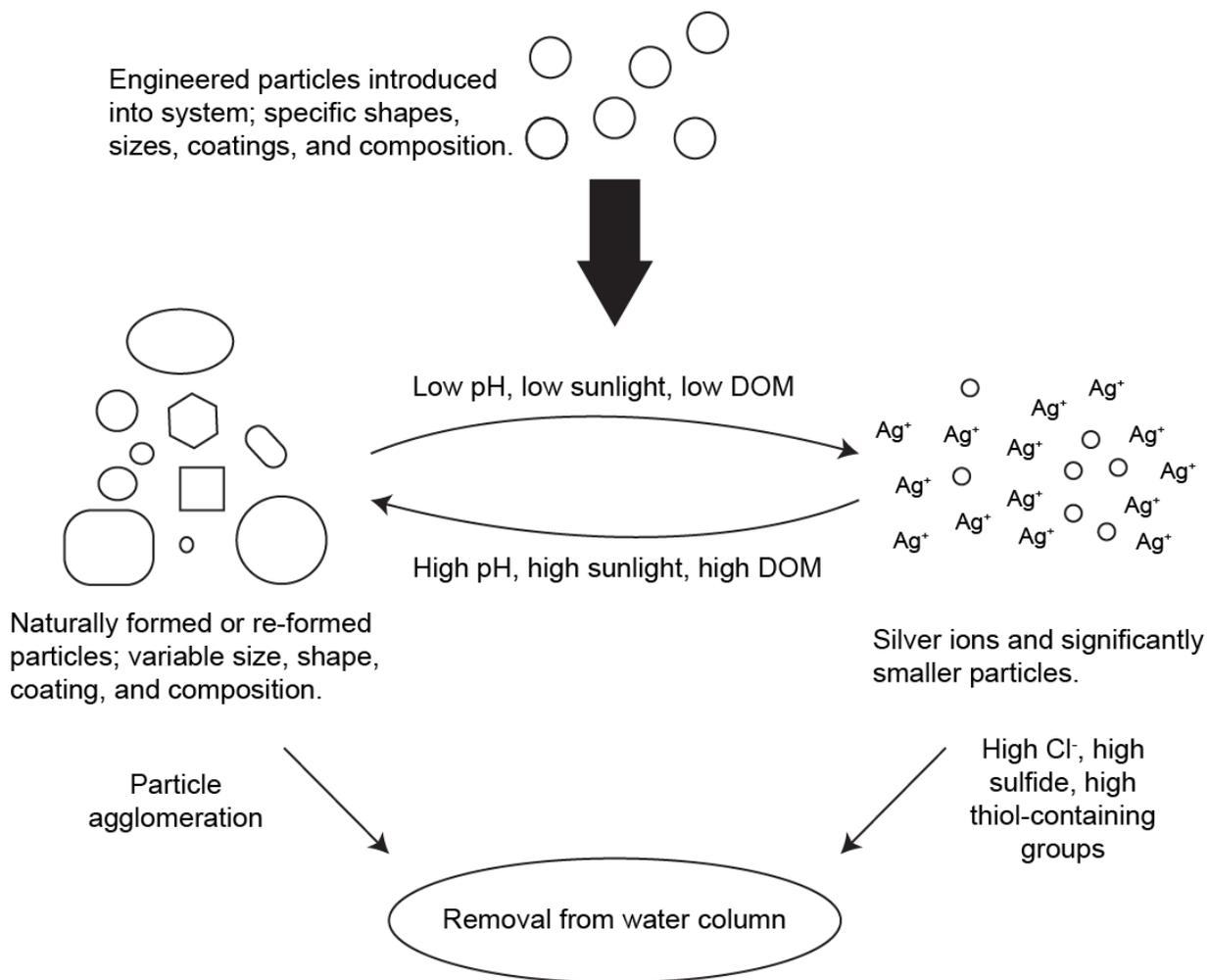
equipment (Chen and Schluesener, 2008). They have also become popular in consumer products, conferring antimicrobial properties to products ranging from toys and food packaging to washing machines and water filtration systems (Cushen et al., 2013; Quadros et al., 2013; Silvestry-Rodriguez et al., 2007; von Goetz et al., 2013). However, the potential biological impacts of AgNP to non-target organisms are still poorly understood.

Initial research investigated whether, like  $\text{Ag}^+$ , AgNP can exert toxic effects on eukaryotic cells. Early studies in germline stem cells revealed a concentration-dependent loss of viability (significant above  $10\mu\text{g/mL}$ ) when exposed to 15nm AgNP, while equal quantities of  $\text{Ag}^+$  exerted no overt toxic effects (Braydich-Stolle et al., 2005). Presently, some studies have found no difference between AgNP and  $\text{Ag}^+$  toxicity or effects (De Matteis et al., 2015; Hadrup et al., 2012), stating that any toxic action of AgNP is due to release of  $\text{Ag}^+$  from their surface, while others have identified effects of AgNP separate from  $\text{Ag}^+$  (Garcia-Reyero et al., 2014; Xu et al., 2014). Current consensus seems to be that AgNP effects are mediated in part by released  $\text{Ag}^+$ , but that AgNP also have unique properties unto themselves.

*In vivo* experiments have pointed to several novel properties of AgNP. Where  $\text{Ag}^+$  is thought to cross membranes by competing for cation channels (Bury and Wood, 1999), AgNP have been shown to simply pass through membranes and biological defenses such as the blood-brain barrier (Tang et al., 2008; Tang et al., 2010) and placenta (Melnik et al., 2013). Once there, AgNP accumulate in tissues such as the brain, kidney, gonads, and liver (Lee et al., 2013), with especially high retention times in the gonads (Lee et al., 2013) and brain (Lankveld et al., 2010; Wen et al., 2016). Indeed, a four-month recovery study following one month of AgNP treatment did not reach a half-life of brain Ag content by its conclusion (Lee et al., 2013).

Not only do AgNP persist in tissues, they also persist in the environment. A study found that, in water with high levels of chloride ions, AgNP quickly agglomerated and dropped out of suspension (Tugulea et al., 2014). However, in the presence of dissolved organic matter, AgNP could remain available for at least nine months, even in strongly chlorinated water (Tugulea et al., 2014). Other studies have shown that AgNP persistence is dependent upon pH and sunlight conditions, as low pH or low sunlight encourages particle oxidation and dissolution to  $\text{Ag}^+$ , whereas high pH or high sunlight encourages reduction of  $\text{Ag}^+$  onto the surface of AgNP (Yu et al., 2014).  $\text{Ag}^+$  in the environment may even form new AgNP under reductive conditions (Zhang et al., 2015c). Ultimately, AgNP fate in the environment is highly variable, with factors such as local water chemistry (Yin et al., 2015c; Zhang et al., 2015a), nanoparticle coating (Jang et al., 2014; Yin et al., 2015b), particle size (Angel et al., 2013; Dobias and Bernier-Latmani, 2013), sunlight availability, and dissolved organic matter (Tugulea et al., 2014; Zhang et al., 2015c) each having a dramatic impact (Figure 3). A 20-year study analyzing AgNP fate in a watershed system showed that local concentrations of AgNP and AgNP-derived compounds could vary by up to nine orders of magnitude between treatment sites (Dale et al., 2015).

Despite this abundance of experimental data, little to no research examines levels of AgNP in the environment itself. One study, performed in various Colorado rivers and streams, showed up to four orders of magnitude difference between AgNP concentrations at different sites (0.1ng/L to 1.8 $\mu\text{g/L}$ ), with the highest being a river downstream from an industrial complex (Wen et al., 2002). Conversely, significantly more research has been done examining exposure levels due to release from medical or consumer products. Burn dressings have been found to release approximately 0.6 $\mu\text{g/day}$  (Bidgoli et al., 2013), and AgNP-containing throat sprays were found to release 0.24-0.26ng AgNP per use (Quadros and Marr, 2011). Exposure from food



**Figure 3: Schematic representation of AgNP behavior in aquatic environments.** Briefly, when AgNP are introduced to the environment, their fate depends largely on local chemistry and physical properties. Low pH, low sunlight levels, and low levels of dissolved organic matter (DOM) contribute to particle oxidation, and therefore breakdown and dissolution. Conversely, high pH, high sunlight, and high concentrations of DOM contribute to ion reduction, and therefore particle formation or growth. However, if large particles remain under growth conditions, they may agglomerate and become too large to remain suspended in the water column. Alternatively, if there is an abundance of chloride, sulfide, or thiol-containing groups, Ag<sup>+</sup> ions can be removed from the water column. Together, this makes AgNP levels within the environment highly variable depending upon local fluctuations in any of these factors, complicating efforts to quantify environmental AgNP concentrations.

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packaging has been estimated to be between 0.06-13 $\mu$ g/kg/day (Cushen et al., 2013, 2014). Similarly, release of AgNP from several baby products has been assessed, with a plush toy releasing up to 18.5mg/kg product, and a blanket up to 4.8mg/kg product (Quadros et al., 2013). Finally, analysis of various household products such as shirts, toothpaste, shampoo, and detergent each release anywhere from 22 to 43.3mg/kg product (Benn et al., 2010). Attempts have been made to model AgNP exposure from the environment (Blaser et al., 2008; Money et al., 2014; Whiteley et al., 2013), but it is clear that exposure depends on differences in daily interaction with AgNP-containing products. Further, the bioaccumulative nature of AgNP (Lee et al., 2013) complicates attempts at estimation. Still, it is clear that the ubiquitous nature of AgNP within society ensures at least some level of daily exposure, whether from shedding of AgNP-containing products or from environmental sources. This leads to accumulation of low levels of AgNP within tissues, especially the brain, where they can cause unknown physiological changes. Therefore, it is a priority to understand the effects of low-level AgNP exposure on brain cell function and general brain health.

### **1.3 SILVER NANOPARTICLES AND NEURAL STEM CELLS**

The effects of high levels of AgNP on biological systems are well-documented (reviewed in Bartlomiejczyk et al., 2013). At 5 $\mu$ g/mL and above, AgNP cause significant loss of cell viability *in vitro* (summarized in Table 1), break down the cytoskeleton in neuroblastoma cells (Schrand et al., 2008) and cultured neurons (Xu et al., 2013), and damage DNA in embryonic stem cells and embryonic fibroblasts (Ahamed et al., 2008). Ultimately, high levels of AgNP lead to apoptosis and necrosis of cells *in vitro* (Arora et al., 2008; Haase et al., 2012; Kim et al., 2012). However, their effects at lower concentrations are poorly understood. AgNP exposure can result in the production of reactive oxygen species (ROS), either through the downregulation or

**Table 1: Summary of *in vitro* AgNP toxicity data in mammalian cells.** Multiple values are given for minimum toxic concentration where different assays produced differing results.

Size	Coating	Cell Type Used	Time	Minimum Toxic Conc.	Source
15nm	None	C18-4 immortalized germline stem cells	48hr	10µg/mL	(Braydich-Stolle et al., 2005)
15nm 100nm	None	BRL-3A rat liver-derived	24hr	5µg/mL (MTT) 10µg/mL (LDH) 5µg/mL (MTT) 10µg/mL (LDH)	(Hussain et al., 2005)
15nm	None	PC12 cells	24hr	10µg/mL	(Hussain et al., 2006)
7-20nm	None	A431 human skin carcinoma HT-1080 human fibrosarcoma	24hr	6.25µg/mL 6.25µg/mL	(Arora et al., 2008)
15nm 30nm 55nm	None	Alveolar macrophages	24hr	5µg/mL 10µg/mL 50µg/mL	(Carlson et al., 2008)
7-10nm	None	HepG2 human heptoma	24hr	1.5µg/mL	(Kawata et al., 2009)
20nm 40nm	CKK-peptide	Primary neuronal culture (rat)	7d 14d 21d 7d 14d 21d	20µg/mL 5µg/mL* 5µg/mL* >100µg/mL 10µg/mL 10µg/mL	(Haase et al., 2012)
14nm	PVP	PC12 cells	48hr	10µg/mL	(Hadrup et al., 2012)
20nm	None	Primary cortical neurons (rat)	72hr	1µg/mL (immediate) 10µg/mL (4d post-culture) 5µg/mL (10d post-culture)	(Xu et al., 2013)
10nm	Citrate	PC12 cells	4d	3µM (6x10 <sup>10</sup> AgNP/mL) (~0.33µg/mL)	(Powers et al., 2011)
46nm	None	Human mesenchymal stem cells	1hr 3hr 24hr	10µg/mL 10µg/mL 10µg/mL	(Hackenberg et al., 2011)

\*lowest concentration tested

disruption of antioxidant enzymes within the cell or production of ROS at the nanoparticle surface (Manke et al., 2013). In high concentrations, this can result in oxidative stress, ultimately leading to cell dysfunction and death (Haase et al., 2012; Jiang et al., 2013; Kim et al., 2008b). Normally, minute modulations of intracellular ROS levels are involved in maintenance of NSC proliferation and fate decision during NSC differentiation (Le Belle et al., 2011; Prozorovski et al., 2015; Vieira et al., 2011). Therefore, changes to intracellular ROS following low-level AgNP exposure may be capable of altering NSC behavior.

Interestingly, low levels of AgNP (0.1-0.2 $\mu$ M, 12hr) increase neural differentiation of SH-SY5Y neuroblastoma cells, and cause an increase in ERK, Akt, and ROS signaling (Dayem et al., 2014). ERK activation promotes NSC proliferation and neuronal differentiation (Huang et al., 2014; Liu et al., 2014), and Akt activation enhances NSC proliferation and survival during differentiation (Conti et al., 2001; Jin et al., 2005). Similarly, ROS signaling is a key regulator of neural stem cell maintenance and differentiation (Le Belle et al., 2011; Ryu et al., 2015; Vieira et al., 2011), and is coupled with Wnt/ $\beta$ -catenin signaling (Braunschweig et al., 2015). Wnt/ $\beta$ -catenin signaling is another intracellular signaling pathway involved generally in metabolism and cytoskeleton regulation, while also playing roles in promoting NSC proliferation and modulating differentiation (Kuwabara et al., 2009; Mu et al., 2010; Wexler et al., 2009; Wheelock and Knudsen, 1991; Wisniewska, 2013). Together, this provides good evidence for the ability of AgNP to alter physiological functions within NSC even with low-level exposures. Further, changes to NSC behavior may interfere with adult neurogenesis, and result in a disruption of basic processes such as learning and memory.

## 1.4 SPECIFIC AIMS

AgNP are ubiquitous in the environment, and are known to bypass biological defenses such as a blood-brain barrier and accumulate in tissues, especially the brain. It is known that AgNP at high concentrations can damage the cytoskeleton and DNA, and induce oxidative stress, apoptosis, and necrosis. However, less is known about effects of AgNP at concentrations more in line with those found in the environment or accumulated in tissues. Therefore, as NSC are an excellent, accessible model of adult neurogenesis, neurodevelopment, and neural cells in general, this work investigates changes to proliferation, differentiation, and activity of NSC in response to low-level AgNP exposure.

**Specific Aim 1** is to investigate changes to NSC differentiation and behavior *in vitro* following low-level AgNP exposure. Isolated neurospheres of NSC (Figure 2) were plated onto poly-L-lysine and laminin-coated coverslips, allowed to differentiate, and exposed to 40nm citrate-stabilized, spherical AgNP at a concentration of 0.05-2.0 $\mu$ g/mL for 48 hours. Immunocytochemistry assessed changes to expression of differentiation markers, while staining of the cytoskeleton allowed visualization of morphology. Time-lapse microscopy immediately following AgNP exposure investigated changes to cell behavior, especially the cytoskeleton-dependent processes neurite extension and arbor development. Finally, comet assay was used to ensure that the concentrations of AgNP used in this study are, in fact, not toxic to the cells. Together, these experiments highlighted changes to AgNP fate and behavior following low-level AgNP exposure.

**Specific Aim 2** is to determine the cellular signaling pathways altered by low-level AgNP. Protein isolated from differentiated NSC exposed to low-level AgNP was probed by Western blot for changes in ERK or Akt signaling, as both can be altered by AgNP (Dayem et

al., 2014). Additionally,  $\beta$ -catenin signaling, the canonical mediator of Wnt signaling, was investigated due to its link to ROS signaling in NSC (Braunschweig et al., 2015).

Immunocytochemistry was also used to probe  $\beta$ -catenin localization, as  $\beta$ -catenin's cellular distribution impacts its signaling. Together, these experiments highlighted which pathways are targeted for disruption by low-level AgNP.

**Specific Aim 3** is to investigate *in vivo* effects of low-level AgNP and functional changes to cells generated from NSC exposed to low-level AgNP. For *in vivo* experiments, equal numbers of male and female rats were given AgNP daily at a dosage shown previously to not exert overt toxic effects (100mg/kg/day) for 28 days. Half of each group was collected immediately following treatment, with the other half allowed 28 days of recovery without treatment. BrdU was given at 14, 7, 2, and 1 days pre-collection to visualize new cells. Cerebella were collected and analyzed for silver content. Fixed brains were sliced and analyzed for fate markers using immunohistochemistry to determine number of proliferating cells, cell position, and cell fate. For cell function experiments, patch clamp electrophysiology was used to assess changes in voltage-activated currents in differentiated NSC. Together, these experiments aimed to showcase AgNP's ability to bypass bodily defenses and accumulate in tissues, and subsequently alter cell behavior and activity even at levels that exert no toxic effects.

**Overall**, this study investigates changes to NSC proliferation, migration, differentiation, maturation, and integration at multiple levels following low-level AgNP exposure. As these processes are all vital for adult neurogenesis, disruption of even one of them could point to a corresponding disruption of adult neurogenesis and therefore healthy brain function. This, in turn, could lead to deficits in basic activities such as learning, memory, and the brain's ability to repair itself. Therefore, this research informs future investigations into the low-level effects of

AgNP on the brain and begins the much-needed process of filling gaps in the knowledge base. This is especially prudent as, due to the relative novelty of AgNP, little to no legislation or regulation exists governing their use.

Though AgNP have chemical and physical properties distinct from the Ag<sup>+</sup> released from their surface, whether the detrimental effects of AgNP are mediated by Ag<sup>+</sup> release or AgNP-unique processes remains unknown. Ag<sup>+</sup> enters cells by means of cation channels, but AgNP can penetrate membranes and bypass biological defenses *in vivo* and *in vitro* while remaining in nanoparticle form (Tang et al., 2008). This has led to an argument that AgNP effects are mediated mainly by AgNP's ability to easily cross membranes and deliver Ag<sup>+</sup> into the cell (Hsiao et al., 2015). Alternatively, Ag<sup>+</sup> in biological conditions can form AgNP in the presence of reducing sugars like glucose (Hansen and Thunemann, 2016), meaning that any Ag<sup>+</sup> released into cells might be easily re-formed back into nanoparticles. Ultimately, this work does not differentiate effects that are mediated by AgNP or Ag<sup>+</sup> released from those AgNP. There is enough evidence that AgNP migrate to and persist in tissues intact to justify studying changes to NSC differentiation, signaling, and behavior following direct exposure to AgNP.

## **CHAPTER 2: LOW-LEVEL SILVER NANOPARTICLES DISRUPT CYTOSKELETON AND NEURITE DYNAMICS IN DIFFERENTIATING CULTURED NEURAL STEM CELLS.**

### **2.1 INTRODUCTION**

The adult brain contains pools of adult neural stem cells (NSC) that proliferate and differentiate into mature neural phenotypes throughout life (Altman and Das, 1965; Eriksson et al., 1998; Gage, 2000). They are primarily localized to specialized niches, one of which is the subventricular zone (SVZ) of the lateral ventricles (Alvarez-Buylla and Nottebohm, 1988; Luskin, 1993). There, NSC proliferate, begin the process of differentiation, and migrate down the rostral migratory stream towards the olfactory bulb (Doetsch et al., 1997; Luskin, 1993; Luskin and Boone, 1994). Once in the olfactory bulb, they complete differentiation and integrate into existing circuits, primarily as GABAergic interneurons (Gerard et al., 1994; Scheffler et al., 2005). This process of adult neurogenesis continues throughout life, and is important in basic processes such as learning, memory, and endogenous repair (Snyder et al., 2001; van Praag et al., 1999; Zhang et al., 2007; Zheng et al., 2013). It is therefore considered to be a part of healthy brain function. Further, NSC can be isolated from the SVZ and maintained in culture as neurospheres, organized spheres of progenitor cells (Doetsch et al., 2002; Reynolds and Weiss, 1992; Wachs et al., 2003). Cells in neurospheres can be induced to differentiate by growth factor withdrawal in the presence of an extracellular matrix, and will follow the same molecular programs seen in adult neurogenesis and development (Ge et al., 2008). In doing so, they produce functional neurons and glia. Therefore, SVZ-derived NSC are a useful *in vitro* model system to investigate changes in neurodevelopment, adult neurogenesis, and general brain function following endogenous or exogenous physiological insults.

In this study, SVZ-derived NSC are used to investigate the effects of the emerging environmental contaminant silver nanoparticles (AgNP). AgNP are nano-scale (1-100nm) in at least one dimension (Kruszewski et al., 2011), which gives them a high surface area to volume ratio and novel chemical and physical properties that differentiate them from ionic or colloidal silver (Braydich-Stolle et al., 2005; Garcia-Reyero et al., 2014; Tang et al., 2008). One of these properties is potent antimicrobial effects (Pal et al., 2007; Samuel and Guggenbichler, 2004; Sondi and Salopek-Sondi, 2004), resulting in AgNP-containing products ranging from burn dressings and catheter coatings to food packaging and children's toys (Benn et al., 2010; Cushen et al., 2013; Quadros et al., 2013; Zhang et al., 2012). AgNP are known to cross or disrupt biological membranes such as the blood-brain barrier (Tang et al., 2008), and accumulate in various tissues, especially the brain (Lee et al., 2013). In culture, AgNP damage the cytoskeleton and DNA (Ahamed et al., 2008; Schrand et al., 2008; Xu et al., 2013), and induce oxidative stress, apoptosis, and necrosis (Arora et al., 2008; Haase et al., 2012; Hadrup et al., 2012; Kim et al., 2009).

Many studies have showcased AgNP's toxic potential (Arora et al., 2008; de Lima et al., 2012; Kruszewski et al., 2011; Li et al., 2014). However, concentrations of AgNP used in these studies, sometimes as high as 100µg/mL (Haase et al., 2012), are generally well in excess of those thought to be relevant to actual exposure levels, estimates of which are generally within the range of 0.06-13µg/day for single sources (Cushen et al., 2014; Quadros and Marr, 2011; Tulse et al., 2015). Given that AgNP can persist in the environment for extended periods of time (Dale et al., 2015; Tugulea et al., 2014) and accumulate in tissues (Lee et al., 2013), measurements of true exposure are difficult at best. This is further complicated by the presence of AgNP in numerous consumer products encountered every day (Tulse et al., 2015; von Goetz et al., 2013;

Yang and Westerhoff, 2014), making exposure even more variable with lifestyle and location (Wen et al., 2002). For this study, low-level AgNP concentrations that do not significantly reduce viability of cultured neurons (<5µg/mL) (Haase et al., 2012; Xu et al., 2013) and neuron-like cell lines (<5-10µg/mL) (Hadrup et al., 2012; Hussain et al., 2005), and that are in line with levels seen in brains following oral exposure in rats (0.2-0.5µg/mL) (Lee et al., 2013) were chosen. The aim was to investigate how low, environmentally-relevant levels of AgNP can induce changes to NSC behavior and differentiation, and therefore neurogenesis and normal brain function.

## **2.2 MATERIAL AND METHODS**

### **2.2.1 SVZ-NSC Isolation and Maintenance of Progenitor Cells**

Stem cells were isolated from female young adult (3-6 months old) Sprague-Dawley rats (Hilltop Lab Animals, Scottsdale, PA) according to established protocols (Muraoka et al., 2008; Reynolds and Weiss, 1992; Wachs et al., 2003). Rats were deeply anesthetized with inhaled isoflurane (Kent Scientific Corp., Torrington, Connecticut) and decapitated. The brain was removed and placed in cold phosphate-buffered saline 1 (PBS1, in mM: NaH<sub>2</sub>PO<sub>4</sub>, 2.69 (Sigma, St. Louis, Missouri, United States); Na<sub>2</sub>HPO<sub>4</sub>, 11.9 (AMRESCO, Solon, Ohio); NaCl, 137 (Fisher, Fair Lawn, New Jersey); KCl, 27 (AMRESCO); pH 7.4) containing 1% penicillin-streptomycin (P/S; Life Technologies, Grand Island, New York) before the subventricular zone was isolated. The tissue was chopped in PBS1 plus 4.5g/L glucose (Sigma) with a scalpel blade, then enzymatically digested in fresh PDD (0.01% papain (Fisher); 0.01% DNase I (Sigma); 0.1% Dispase II (Sigma); 12.4mM MgSO<sub>4</sub> (Sigma)) for 40 minutes with trituration every 10 min. After digestion, cells were collected by centrifugation, washed three times with DMEM/F12 (Life Technologies) and suspended in Neurosphere medium (DMEM/F12 + Glutamate, non-

essential amino acids (NEAA), P/S, B27, 20ng/ml EGF, 5ng/ml bFGF, (all from Life Technologies) and 1.25U/ml Heparin sodium (Sigma)). Fungizone antimycotic (Life Technologies) was included in the medium for the first week of culture. Fresh medium was added every 2-3 days and neurospheres formed within two weeks of culture in non-TC flasks at 37°C, 5% CO<sub>2</sub>. Cells in neurospheres were dissociated with accutase (Life Technologies) before reaching a diameter greater than 500µm (every 7-14 days), passaged cells giving rise to new neurospheres.

### **2.2.2 *In Vitro* Differentiation of SVZ-NSCs and Exposure to AgNP**

To induce differentiation of NSC, undifferentiated neurospheres (passage 4-18) were collected by centrifugation, washed to remove growth factors, and plated on poly-L-lysine (0.01%) and laminin (10µg/mL) coated coverslips or wells in 24-well plates in differentiation medium (Neurobasal (Life Technologies), 2% B27 (Life Technologies), 1% NEAA, 1% Glutamax (Life Technologies), and 1% P/S) lacking EGF and bFGF. After being allowed to attach and differentiate for 2-3 days, medium was replaced with fresh medium containing 0, 0.05, 0.1, 0.5, 1.0, or 2.0 µg/mL AgNP stabilized in 2mM citrate (Sigma). Filter-sterilized 2mM sodium citrate was used as a vehicle control. Cells were incubated for 48 hours for dose-response experiments. For time-response experiments, media was replaced with fresh and stock AgNP solution was added to individual wells at the appropriate time (24hr, 6hr, 2hr, 1hr) for a final working concentration of 1.0µg/mL AgNP in each well.

### **2.2.3 B35 Cell Culture**

Undifferentiated B35 neuroblastoma cells (ATCC, Manassass, Virginia), a model of neurite extension and cell migration, were maintained in TC-coated flasks in growth medium (DMEM, 10% fetal bovine serum, 1% P/S) and passaged at 90-95% confluence using trypsin. To induce differentiation, cells were plated in 24-well plates coated with poly-L-lysine and laminin

in serum-free medium. Cells were allowed to adhere and differentiate for two days before exposure to 1.0 $\mu$ g/mL AgNP in serum-free medium.

#### **2.2.4 Immunocytochemistry**

Immunocytochemistry was used to label cells for markers of differentiation state and cell fate. Cells were washed with PBS1, fixed on coverslips with 4% paraformaldehyde for 15min, washed with phosphate buffered saline 2 (PBS2; 100mM sodium phosphate, 150mM NaCl, pH 7.4) and then permeabilized with 0.3% Triton-X/PBS2 for 10 minutes. Preparations were blocked with 5% bovine serum albumin (BSA, Fisher) and 10% normal goat serum (NGS, Life Technologies) for one hour before being incubated overnight with primary antibodies (1:500) specific to cell fate marker proteins. No-primary control preparations were included in each experiment. Primary antibodies were mouse- $\alpha$ -MAP2 (Millipore, Darmstadt, Germany), rabbit- $\alpha$ -MBP (Millipore), mouse- $\alpha$ - $\beta$ -tubulin III (Phosphosolutions, Aurora, Colorado), mouse - $\alpha$ -GFAP (Abcam, San Francisco, California), and rabbit- $\alpha$ -DCX (Abcam). Secondary antibodies (1:500) were goat- $\alpha$ -mouse or goat- $\alpha$ -rabbit Alexa 488 (Life Technologies), and were co-incubated with phalloidin-Alexa 568 (1:100; Life Technologies) overnight in 5% BSA and 1% NGS solution. Cells were counterstained with DAPI and washed with D.I. H<sub>2</sub>O before being mounted on slides using Prolong Gold (Life Technologies). Slides were allowed to cure at room temperature overnight and stored at 4°C. Images were acquired using epifluorescent microscopy.

#### **2.2.5 Comet Assay**

Comet assay was used to confirm that levels of AgNP used in this experiment do not induce significant DNA damage, and therefore apoptosis or necrosis, in cultured NSC. Solutions for alkaline comet assay (Singh et al., 1988) were prepared in advance and stored at 4°C. Undifferentiated neurospheres were plated on plastic 6-well plates coated with poly-L-lysine and

laminin in differentiation medium as described above (see 2.2.2). After the 2-3 day attachment period, media was replaced with either unaltered media (for positive and negative control) or media containing 1µg/mL or 2µg/mL AgNP for 24hr. Flamed slides were dipped in molten normal melt agarose (10mg/mL in water) and stored flat at room temperature overnight. Slides were pre-warmed to 37°C before use. Cells were lifted by scraping and collected by centrifugation. Resulting pellets were suspended in 500µL either PBS1 or 200µM H<sub>2</sub>O<sub>2</sub> (positive control only) on ice for 20min. Cells were once again collected by centrifugation and resuspended in 20µL PBS1 at 37°C. 10µL of this suspension was mixed with 90µL of molten low-melt agarose (LMA; 0.6mg/mL in PBS1, final 0.55mg/mL) at 37°C and gently spread over pre-warmed slides. Slides were placed on an ice block in 4°C for at least 30 minutes, until LMA layer had solidified, before being immersed in lysis buffer at 4°C (0.1M EDTA (Sigma); 2.5M NaCl (Fisher); 0.2M NaOH (AMRESCO); 10mM Tris (AMRESCO); 1% Triton-X; pH 10) in dark for 1hr. Slides were moved to electrophoresis buffer (0.3M NaOH; 1mM EDTA; pH 13) in the dark, 1hr to allow for DNA denaturing. Slides were moved to a horizontal gel apparatus in fresh electrophoresis buffer and run at 1V/cm and 300mA for 30 minutes. Slides were then immersed in neutralization buffer (0.4M Tris, pH 7.5) at room temperature four times 5min, before being washed in diH<sub>2</sub>O two times 5min. Finally, slides were dehydrated in 70% ethanol for 5min and allowed to dry at 37°C for 15 minutes. Slides were covered with 60µL each of 1µg/mL DAPI, coverslipped, and imaged after 30min using an epifluorescent microscope. 10x10 tilescans were taken from the center of each slide, with at least 50 cells per sample.

### **2.2.6 AgNP Enhancement for Light Microscopy Visualization**

AgNP enhancement experiments examined localization of AgNP within NSC. Cells were cultured and exposed to AgNPs for two days before fixation and permeabilization as described

above for immunocytochemistry (see 2.2.4). Following permeabilization, cells were treated with phalloidin-Alexa 568 in PBS2 for 20 minutes, washed with PBS2, and images were recorded using epifluorescent microscopy. Image XY locations were saved, and then cells were treated with the Aurion R-Gent SE-LM Silver Enhancement kit (Electron Microscopy Sciences, Hatfield, Pennsylvania), as per the manufacturer's instructions. Images were collected again from cells in the saved XY positions with transmitted light immediately after enhancement.

### **2.2.7 Time Lapse Microscopy**

Time lapse experiments were performed to assess cell behavior immediately following low-level AgNP exposure. Cells were grown in differentiation conditions for 2-3 days on poly-L-lysine and laminin-coated 24-well tissue culture plate. Medium was replaced with either AgNP-containing medium (1.0 $\mu$ g/mL) or control medium. Immediately following media replacement, cells were moved to a stage-top incubator at 37°C and 5% CO<sub>2</sub> and images were collected using transmitted light. Images of B35 cells were collected every hour for 24 hours, and images of SVZ-NSCs were collected every 15 minutes for 6 hours, with at least two positions collected per well in every experiment.

### **2.2.8 RT-PCR**

RT-PCR was used to assess transcription of NSC markers by cells within neurospheres. Undifferentiated neurospheres were collected by centrifugation. Total RNA was isolated from cells using an Ambion PureLink kit with on-column DNA treatment (Life Technologies) followed by reverse transcription of 2 $\mu$ g of RNA to cDNA using an ABI high-capacity RT kit (Life Technologies). No RT control reactions were run in parallel for each sample. PCR reactions were run using Taq polymerase (Bioexpress, Kaysville, UT), and primers for DCX (F: TTGGACATTTTGACGAACGA, R: CCCTTCTTCCAGTTCATCCA; NM\_053379; 353bp

(Liu et al., 2009a)), GFAP (F: TAAGCTAGCCCTGGACATCG, R: TTTCTCGGATCTGGAGGTTG; NM\_010277; 110bp (Liu et al., 2009a)), Sox2 (F: ACCAGCTCGCAGACCTACAT, R: TAGGAGTGGGAGGAAGAGGTA; NM\_001109181; 154bp (Liu et al., 2009a)), Nestin (F: AGAGAAGCGCTGGAACAGAG, R: AGGTGTVTGC AACCGAGAGT; 234bp (Sun et al., 2011)) or GAPDH (F: GATGCTGGTGCTGAGTATGTCG, R: GTGGTGCAGGATGCATTGCTCTGA; 197bp)(All 5'→3'). PCR products were separated by 10% poly-acrylamide gel electrophoresis, stained with ethidium bromide, and imaged under UV.

### **2.2.9 Imaging**

A Leica DM6000B microscope was used to obtain epifluorescent and transmitted light images with 10x and 20x objectives. Images were acquired using LAS AF software (Leica, Buffalo Grove, Illinois). Adjustments to brightness and contrast were performed only on entire images using ImageJ (NIH, Bethesda, Maryland). For the enhancement assay, open source software (GIMP) was used to manually align the images and the transmitted light images were color-reversed to allow overlaying in ImageJ and simultaneous visualization of silver enhancement and actin staining. All figures were created using Adobe Illustrator.

### **2.2.10 Data Analysis**

For ICC, cells were counted in ImageJ. Neurites were analyzed with the freeware ImageJ extension NeuronJ(Meijering et al., 2004). Comet assay data were analyzed with the freeware ImageJ extension OpenComet (Gyori et al., 2014). Data in bar graphs are presented as mean ± standard deviation of at least three independent experiments as detailed. Graphs were generated and statistical significance was determined using Prism (Graphpad, San Diego, CA). Following one-way ANOVA tests, Tukey's multiple comparisons test was used to determine significance

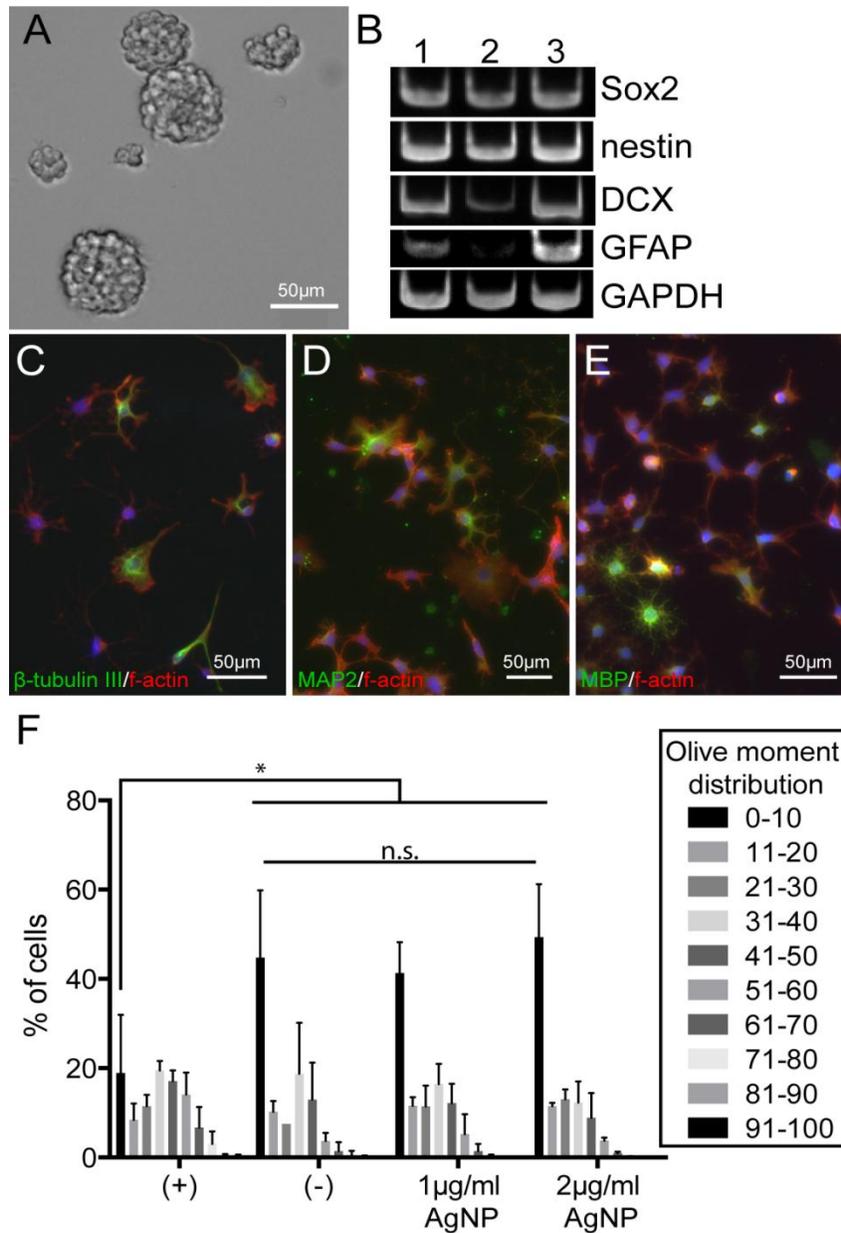
between groups. Additionally, the more conservative Sidak's multiple comparisons test confirmed significance levels. Following two-way ANOVA tests, Sidak's multiple comparisons test was used to determine significance between groups.

## 2.3 RESULTS

### 2.3.1 Characterization of SVZ-Derived NSC and Assessment of AgNP Toxicity.

Cells from the SVZ of young adult rats formed free-floating spheres in media containing EGF or bFGF (Figure 4A). The cells in these spheres regularly express the neural stem cell markers Sox2, nestin, doublecortin (DCX), and glial fibrillary acidic protein (GFAP)(Figure 4B). When plated on an extracellular matrix (laminin) in media lacking growth factors, the cells in the spheres attach, migrate away, and differentiate into mature lineages with complex morphologies. These differentiating cells express the neuronal precursor marker  $\beta$ -tubulin III (Figure 4C), the mature neuronal marker microtubule-associated protein 2 (MAP2; Figure 4D), and the oligodendrocyte marker myelin basic protein (MBP; Figure 4E).

Though proposed AgNP exposure levels for this study are below those found to be toxic in other studies (Table 1), different cell types have different tolerances for AgNP, with size and coating playing a large role in toxicity. Comet assay of the two highest exposure levels chosen for this study revealed no statistically significant change in the distribution of Olive moment, a metric used to quantify the amount of DNA damage (Olive et al., 1990), between negative control cells and those treated with 1 or 2 $\mu$ g/mL 40nm AgNP (Figure 4F). Conversely, all conditions had a significantly greater proportion of cells with an Olive moment of 0-10 than positive control, in which apoptosis was induced with H<sub>2</sub>O<sub>2</sub> (Figure 4F).

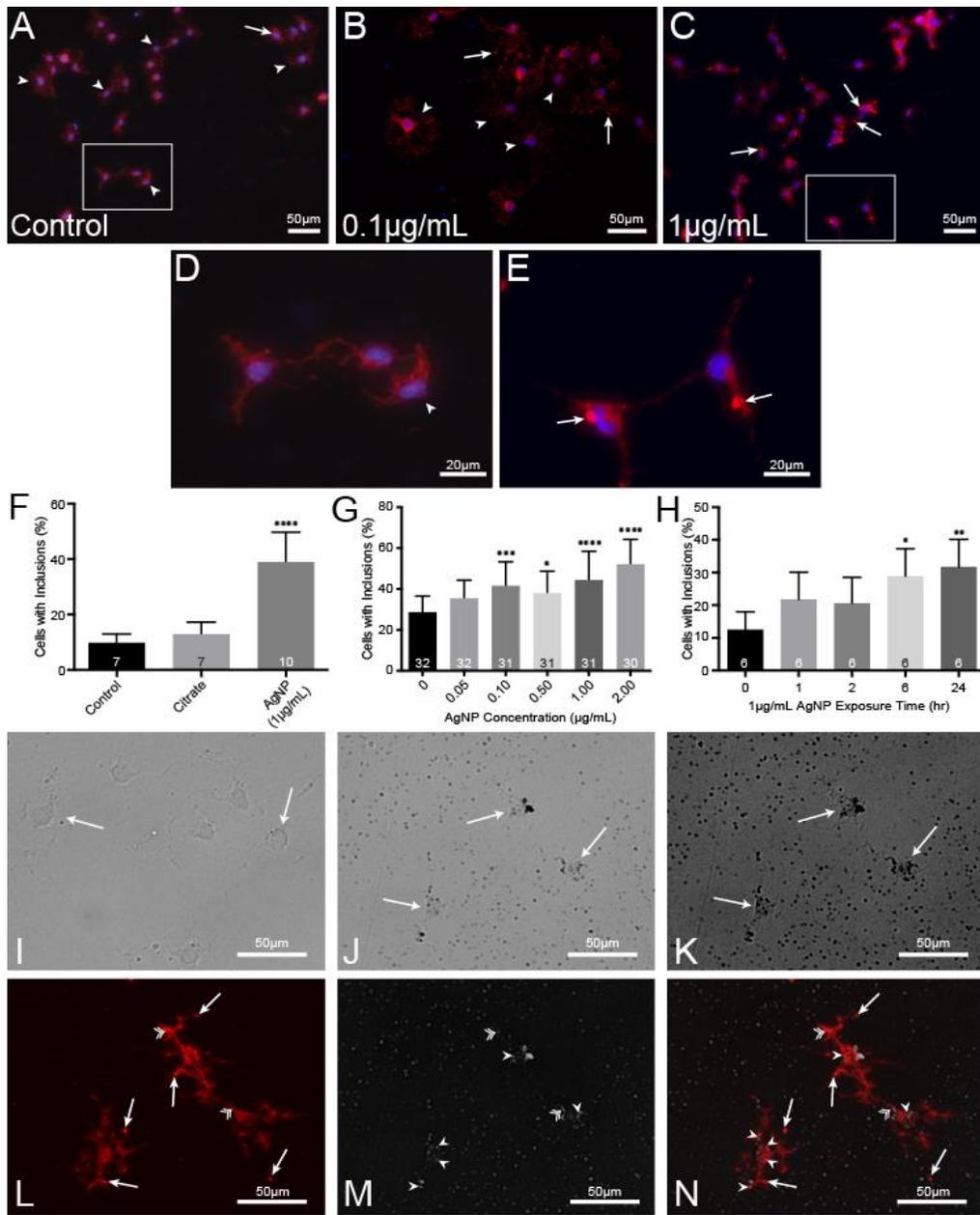


**Figure 4: SVZ-derived NSC express stem markers, differentiate into neurons and glia, and do not experience genotoxicity from low-level AgNP exposure.** Isolated cells proliferate and form free-floating spheres in culture (A). RT-PCR demonstrated expression of stem cell markers in three separate cell lines (B). NSC in spheres can be induced to differentiate *in vitro*, extending neurites, forming complex morphologies, and expressing markers (green) of early neurons ( $\beta$ -tubulin III; C), mature neurons (Map2; D), and oligodendrocytes (MBP; E). After 24hr AgNP exposure, cells' Olive moment distribution is not significantly altered from negative control, while AgNP-treated and control cells have a significantly greater proportion of cells with Olive moments between 0-10 than  $H_2O_2$ -treated positive controls, indicating low DNA damage (F;  $p < 0.05$ ;  $*p < 0.0001$ , Sidak's multiple comparisons; 908-1607 cells per condition across 3 separate experiments). Nuclei are blue (DAPI). Comet assay data courtesy of Dr. Nadja Spitzer.

### **2.3.2 AgNP Exposure Induces Formation of f-Actin Inclusions That Do Not Co-localize With AgNPs.**

Following 48hr exposure, cells exposed to low levels of AgNP formed punctate f-actin inclusions (Figure 5B, 2.2C, arrows) that did not localize to a specific cellular compartment. Very few control cells contained these inclusions (Figure 5A, arrows), while there was a marked increase in their appearance in AgNP-exposed cells (Figure 5B, 2.2C, 2.2E, arrows). Quantification of the proportion of cells containing these inclusions showed that 1 $\mu$ g/mL AgNP exposure induced a significantly higher number of cells to form inclusions compared to vehicle and media controls (Figure 5F). Additionally, inclusion formation was found to increase in a dose-dependent (Figure 5G) and time-dependent manner (Figure 5H).

To ensure that inclusions were not a result of AgNP sequestration within the cell, a nanoparticle enhancement assay was performed in tandem with f-actin staining. Control cells (Figure 5I, arrows) showed no visible enhancement following treatment, whereas AgNP-treated cells (Figure 5J, arrows) showed numerous enhanced nanoparticles following treatment. Increased contrast allowed visualization of cells among enhanced nanoparticles (Figure 5K). AgNP-treated cells are observed to contain both f-actin inclusions (Figure 5L, arrows) and enhanced AgNP (Figure 5M, arrowheads). However, when these two images are merged (Figure 5N), a vast majority of enhanced AgNP do not co-localize with f-actin inclusions, and most f-actin inclusions do not contain enhanced AgNP. Several co-localizations were observed (double arrowheads), but these are thought to have been non-specific occurrences, given their rarity. Taken together, these results suggest that inclusions are formed through AgNP-mediated disruption of some cellular mechanism, not sequestration of AgNP within cells.



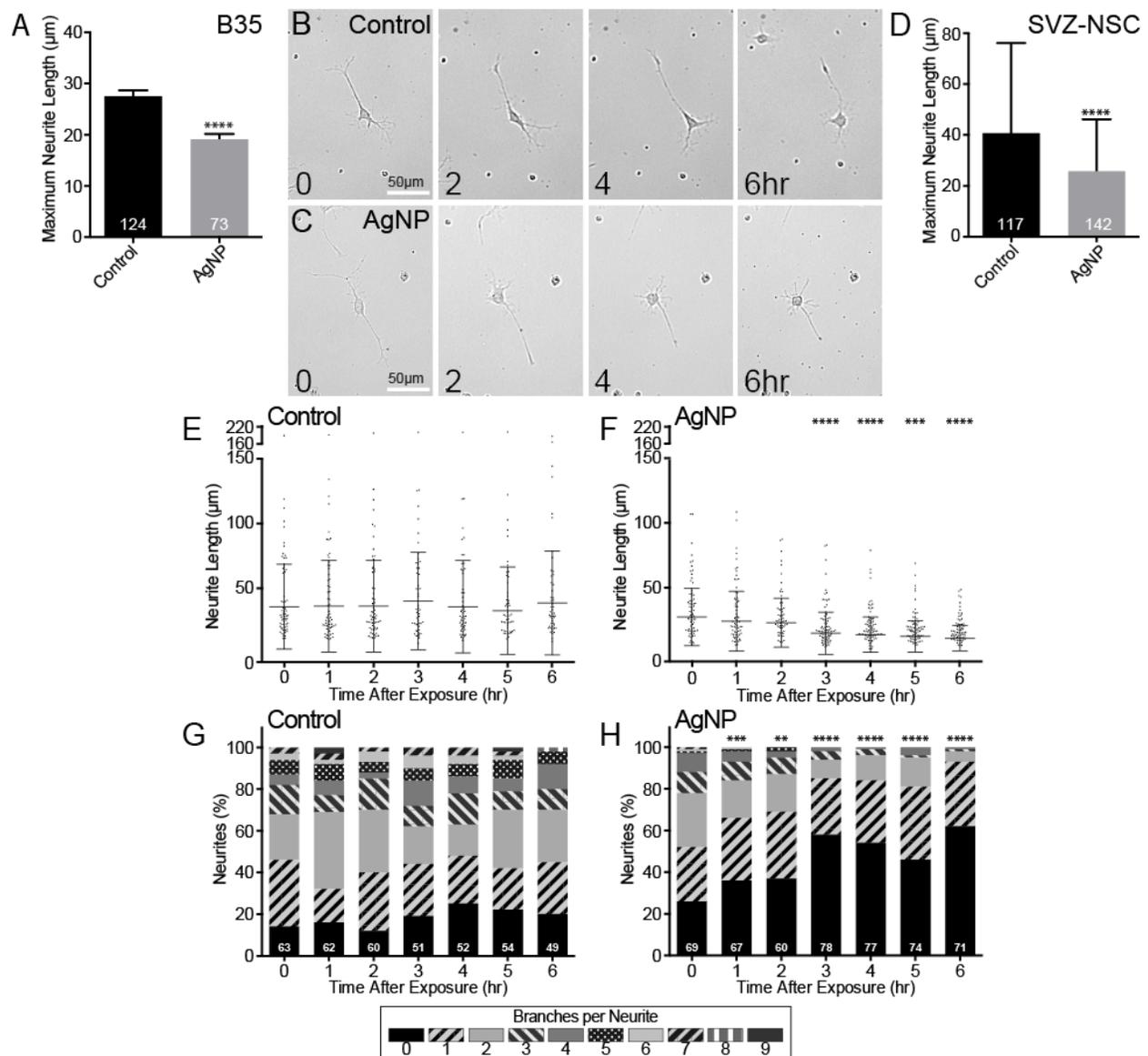
**Figure 5: Low-level AgNP induce formation of f-actin inclusions in differentiating NSC.** Control cells (A) appear uniform (arrowheads) and rarely contain f-actin inclusions (arrows) compared to AgNP-treated cells (B, C). Inclusions lack localization specific cellular compartments. After 48hr, significantly more AgNP-treated cells contain inclusions than vehicle or media controls (F). Inclusion incidence is dose (G) and time-dependent (H). ( $p < 0.05$ , ANOVA; \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ ; Tukey's multiple comparisons;  $n \geq 3$ ; numbers in bars are independent coverslips) After enhancement, control cells (I, arrows) do not change, while enhanced AgNP appear among AgNP-treated cells (J, arrows). Higher contrast visualizes cells (K). When f-actin staining (L) and color-reversed enhancement (M) are merged (N), few inclusions (L, N, arrows) co-localize with AgNP (M, N, arrowheads), though incidental co-localization was observed (double arrowheads).

### **2.3.3 Low-level AgNP Exposure Disrupts Neurite Extension, Dynamics, and Arborization.**

In time lapse experiments using the B35 neuroblastoma cell line, exposure to 1 µg/mL AgNP was found to significantly reduce maximum neurite length (Figure 6A), indicating a possible disruption of neurite dynamics. Indeed, when experiments were replicated with SVZ NSCs, control cells (Figure 6B) possessed long, variable neurites that were consistently well-arborized. Conversely, cells exposed to 1 µg/mL AgNP (Figure 6C) began similarly to control cells, but rapidly experienced a reduction of neurite length and arborization. When the neurites and degree of arborization of these neurites was quantified (Figure 6E-H), SVZ NSC exhibited an similar trend to B35 neuroblastoma cells, with a significant reduction in maximum neurite length overall (Figure 6D). Interestingly, analysis of individual time points revealed that this trend towards shorter neurites is affected by exposure time. Control cells possess neurites of highly variable, dynamic lengths that constantly extend and retract throughout the experiment (Figure 6E), whereas AgNP-exposed cells' neurites collapse after treatment and never recover, with neurites generated after the start of data collection failing to extend as far as control (Figure 6F). The neurites of control cells also have highly variable arborization (Figure 6G), whereas AgNP-exposed cells possess neurites that begin variably-arborized, but by the conclusion of the experiment have neurites with almost no branching at all (Figure 6H).

## **2.4 DISCUSSION**

The toxic action of AgNP *in vitro* is well documented (Bartlomiejczyk et al., 2013; Kruszewski et al., 2011). However, significantly less is known about how cells respond at lower, concentrations. It is known that AgNP at concentrations that do not cause overt toxicity in animals bypass bodily defenses such as the blood-brain barrier (Tang et al., 2008) and accumulate significantly in tissues, especially the brain (Lee et al., 2013). Therefore, it is



**Figure 6: Low-level AgNP exposure disrupts neurite dynamics in cultured neural cells.** Time lapse experiments with B35 neuroblastoma cells revealed maximum neurite length was significantly reduced following 1  $\mu\text{g}/\text{mL}$  AgNP exposure (A; \*\*\*\* $p < 0.0001$ , two-tailed t-test,  $n=2$ ). In SVZ NSC, control cells (B) became morphologically distinct from AgNP-exposed cells (C), exhibiting similar reduction of maximum neurite length (D; \*\*\*\* $p < 0.0001$ , two-tailed t-test,  $n=3$ ). Over time, neurites on control cells were highly dynamic and variable (E), while neurites on AgNP-exposed cells began similarly but quickly underwent significant collapse (F). Similarly, neurites on control cells were generally well-arborized (G), while AgNP-exposed cells underwent significant loss of arborization until most neurites contained one or no branch points (H). Numbers in bars indicate number of neurites measured. ( $p > 0.01$ , Two-way ANOVA of at least 3 independent experiments; \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$  compared to same time point in control; Sidak's multiple comparisons)

especially prudent to investigate the possible effects of low-level, sub-toxic AgNP exposure on brain health. Here, SVZ NSC, a population of cells vital for normal brain function, were isolated and cultured as an *in vitro* model for adult neurogenesis, neurodevelopment, and mature neural cells in general.

Concentrations between 0.05-2.0 $\mu\text{g}/\text{mL}$  AgNP were used for this study in an effort to elicit robust effects while staying below toxicity thresholds. These concentrations have previously been reported as below the threshold for apoptosis and necrosis for cultured neurons (Haase et al., 2012; Xu et al., 2013) and PC12 cells (Hadrup et al., 2012; Hussain et al., 2006). Additionally, AgNP administered to rats at levels that did not cause any obvious toxicity (10-25nm, 100 and 500mg/kg/day) over the course of one month of treatment accumulated in the brain at concentrations up to 0.3-0.4 $\mu\text{g}/\text{mL}$  (Lee et al., 2013), in some cases even increased to 0.4-0.5 $\mu\text{g}/\text{mL}$  during the four month recovery period. The chosen concentrations are also comparable to exposure estimates from consumer products. Analysis of AgNP release from antimicrobial socks revealed they were capable of leaching 1.5-650 $\mu\text{g}$  AgNP into a 1hr wash (Benn and Westerhoff, 2008). Additional studies estimated human exposure to AgNP from food packaging to be 0.06-13 $\mu\text{g}/\text{kg}/\text{day}$  (Cushen et al., 2013, 2014). Also, release of AgNP from coated catheters has been found to be approximately 0.6 $\mu\text{g}/\text{day}$  (Bidgoli et al., 2013). Though these values may not be enough to individually account for the concentration used in these experiments, consideration of multiple simultaneous sources and the bioaccumulative nature of AgNP make it significantly more feasible. Finally, comet assay, a method of assessing DNA damage on a single-cell basis, confirmed that the two highest concentrations used in this study, 1 $\mu\text{g}/\text{mL}$  and 2 $\mu\text{g}/\text{mL}$ , did not significantly increase apoptosis (Figure 4F). Therefore, any changes observed in NSC differentiation or behavior are due to sub-toxic effects of AgNP.

Here, low-level AgNP exposure resulted in an increase of the proportion of cells containing f-actin inclusions in a dose- and time-dependent manner (Figure 5). These inclusions appear similar to structures observed when cells are exposed to the actin-stabilizing toxin jasplakinolide (Lazaro-Dieguez et al., 2008) or the actin-depolymerizing toxin cytochalasin D (Muller et al., 2013). This suggests that the inclusions could be indicative of a disruption of actin dynamics. However, AgNP within cells can be equally distributed throughout the cytosol (Marano et al., 2011), or they can be agglomerated and sequestered within membrane-bound compartments (Gliga et al., 2014; Schrand et al., 2008). As the observed f-actin inclusions only very rarely co-localized with enhanced AgNP (Figure 5), formation of these inclusions indicates AgNP-mediated disruption of actin dynamics or other cellular processes.

Finally, time lapse experiments showed that AgNP-exposed cells undergo a collapse of existing neurites, a reduction in neurite arborization, and a significant decrease of neurite dynamics overall (Figure 6). As these processes are cytoskeleton-driven, their loss further supports that low-level AgNP exposure disrupts actin dynamics in differentiating NSC. Actin dynamics are vital for neurogenesis, as a motor for neurite extension and an anchor for adhesion (Bray and Chapman, 1985; Hotulainen and Lappalainen, 2006). Similarly, neurite extension and arborization are themselves vital for neurogenesis, aiding in migration, differentiation, and integration. Therefore disruption of these cytoskeleton-mediated processes and actin-dynamics in general could lead to a loss of neurogenesis and impairment of normal brain function.

As neurogenesis contributes to the basic processes of learning and memory (Snyder et al., 2001; van Praag et al., 1999), one would expect to observe their impairment following AgNP treatment. However, results reported to date are conflicting. One experiment exposed mice to AgNP (25nm, 10-50mg/kg/day) for 7 days and found no changes to neurogenesis or cognitive

function (Liu et al., 2013). Alternatively, rats exposed to sub-toxic levels of AgNP (50-100nm, 3-30mg/kg/day) for 14 days exhibited a significant loss of spatial memory and learning (Liu et al., 2012). While this study did not examine neurogenesis directly, it instead proposed AgNP-driven production of reactive oxygen species (ROS) as a possible mechanism. Minute modulations of intracellular ROS are known to be vital for regulation of NSC proliferation and differentiation (Le Belle et al., 2011; Prozorovski et al., 2015; Vieira et al., 2011), and AgNP at toxic concentrations are known to produce excess ROS (Carlson et al., 2008; Haase et al., 2012; Manke et al., 2013). AgNP at lower concentrations can also influence ROS signaling, and the ERK and Akt pathways in a neuroblastoma cell line (Dayem et al., 2014). ERK aids in control of NSC proliferation and differentiation (Huang et al., 2014; Liu et al., 2014), while Akt promotes proliferation and survival during differentiation (Conti et al., 2001; Jin et al., 2005). Interestingly, when SH-SY5Y neuroblastoma cells were exposed to low-level AgNP, neuronal differentiation increased (Dayem et al., 2014). These results highlight that it is especially important to understand how AgNP interact with NSC and the pathways governing their behavior. Any changes could result in a corresponding malfunction of neurogenesis, and lead to losses of basic processes such as learning, memory, and endogenous repair.

## **CHAPTER 3: LOW-LEVEL SILVER NANOPARTICLE EXPOSURE ALTERS β-CATENIN SIGNALING AND LOCALIZATION.**

### **3.1 INTRODUCTION**

Numerous intracellular signaling pathways are involved in the regulation of adult neural stem cells (NSC) and their activity. Chief among these are Akt, MAPK (ERK), and Wnt signaling (Faigle and Song, 2013; Ma et al., 2004). Akt signaling, known to promote cell survival in mature neurons (Dudek et al., 1997), increases NSC survival and proliferation (Kalluri et al., 2007). However, while some have shown Akt activation to promote proliferation over differentiation (Jin et al., 2005; Peltier et al., 2007), others have found that Akt activation can enhance neuronal differentiation of NSC (Conti et al., 2001). The key difference appears to be which downstream effectors are involved, with CREB and GSK3β promoting survival and proliferation (Jin et al., 2005; Pap and Cooper, 1998; Peltier et al., 2007) and Bad promoting neuronal differentiation (Conti et al., 2001). ERK signaling is similarly complex, promoting oligodendrocyte (Hu et al., 2004) and neural differentiation (Conti et al., 2001; Lim et al., 2007; Liu et al., 2014), neurite extension (Arevalo et al., 2012; Lim et al., 2007; Robinson et al., 1998), and NSC proliferation (Huang et al., 2014; Tocharus et al., 2014). Finally, Wnt signaling is known to be necessary for neurogenesis (Lie et al., 2005), promoting NSC survival (Sinha et al., 2005; Toledo et al., 2008), neurite extension (Lee et al., 2014; Pino et al., 2011), synaptogenesis (Inestrosa and Arenas, 2010), proliferation (Adachi et al., 2007; Marinaro et al., 2012; Wexler et al., 2008), and differentiation (Kuwabara et al., 2009; Marinaro et al., 2012).

Intracellular transduction of Wnt signaling may proceed through several different pathways: canonical and non-canonical. Non-canonical Wnt signaling is very diverse, involved in protection from the Alzheimer's-related protein amyloid-β (Toledo et al., 2008) and stem cell

differentiation in the developing (Toledo et al., 2008) and adult (Pino et al., 2011) brain, and acts through many various intracellular pathways. Conversely, canonical Wnt signaling is always mediated by  $\beta$ -catenin (Hinck et al., 1994), a protein that acts as a bridge between the cadherin complex and the f-actin cytoskeleton (Wheelock and Knudsen, 1991), anchoring the adhesion complex to the cytoskeleton. Under normal circumstances,  $\beta$ -catenin is phosphorylated by GSK3 $\beta$  (Peifer et al., 1994; Yost et al., 1996), marking it for degradation (Aberle et al., 1997) and replacement by newly-translated  $\beta$ -catenin. However, when canonical Wnt signaling is activated, the activity of GSK3 $\beta$  is inhibited, leading to a reduction in this turnover and an increase in intracellular  $\beta$ -catenin levels (Munemitsu et al., 1995). This free  $\beta$ -catenin binds the transcription factors TCF/LEF (Molenaar et al., 1996), shuttling them into the nucleus to initiate transcription of genes related to metabolism, proliferation, and stem cell differentiation (Sinha et al., 2005; Wisniewska, 2013). Aside from Wnt,  $\beta$ -catenin signaling can be activated by Akt signaling (Pap and Cooper, 1998) or by increased reactive oxygen species (ROS) generation (Essers et al., 2005; Funato et al., 2006).

Reduced  $\beta$ -catenin signaling decreases cell survival (Almeida et al., 2011; Newnham et al., 2015), and inhibition of  $\beta$ -catenin translation induces apoptosis (Dong et al., 2015). Conversely, both overexpression of  $\beta$ -catenin (Chou et al., 2015; Greco et al., 2016; Wang et al., 2014; Zhang et al., 2015b) and the inability to degrade constitutively translated  $\beta$ -catenin (Abdelmaksoud-Damak et al., 2015; Wu et al., 2001) are associated with a cancer state in many cell types. However, normal  $\beta$ -catenin signaling is vital for proliferation (Adachi et al., 2007) and differentiation (Wang et al., 2016) of NSC, and for neurite extension (Lee et al., 2014; Yu and Malenka, 2004) and arborization (Yu and Malenka, 2003). Therefore, control of  $\beta$ -catenin

transcription (Shackleford et al., 2013), translation (Chou et al., 2015; Yoon et al., 2012), and activity (Iaconelli et al., 2015) are tightly regulated.

Low-level silver nanoparticles (AgNP) disrupt cytoskeleton and neurite dynamics (Cooper and Spitzer, 2015), both processes controlled by  $\beta$ -catenin (Lee et al., 2014; Yu and Malenka, 2003). Further, AgNP are known to affect Akt and ROS signaling (Dayem et al., 2014) at low-level exposures, two pathways that are linked with  $\beta$ -catenin signaling (Funato et al., 2006; Pap and Cooper, 1998). Therefore, this work investigates  $\beta$ -catenin signaling in NSC as a likely target for disruption following low-level AgNP exposure.

## **3.2 MATERIALS AND METHODS**

### **3.2.1 Cell Culture**

NSC cultures were harvested, maintained as spheres, and plated as described in (2.2.1) and (2.2.2).

### **3.2.2 Immunocytochemistry**

Immunocytochemistry experiments were used to examine changes to the cellular localization of  $\beta$ -catenin within differentiated SVZ NSC exposed to AgNP. Cells were allowed to differentiate on poly-L-lysine (0.01%) and laminin (10 $\mu$ g/mL) coated coverslips for 2-3 days before media was replaced. Following media replacement, stock 40nm AgNP solution (20 $\mu$ g/mL) was added to wells to a concentration of 1 $\mu$ g/mL at 24, 6, 2, and 1hr before fixation, with 2mM sterile-filtered sodium citrate added to control cells at the 24hr timepoint. Processing was conducted as described in (2.2.4). Primary antibody used was rabbit- $\alpha$ - $\beta$ -catenin (1:500; Santa Cruz, Dallas, Texas, United States), with goat- $\alpha$ -rabbit Alexa 488 (1:500; Life Technologies) as secondary. Phalloidin Alexa 568 (1:100; Life) was used to stain the f-actin cytoskeleton, and DAPI (Sigma) was used as a nuclear counterstain.

### 3.2.3 Western Blot

Immunoblot analysis was run on whole protein homogenates from cells exposed to 1.0µg/mL AgNP for 24hr to investigate changes to intracellular signaling pathways. Briefly, cells were collected using Laemmli solution (2% SDS, 6M Urea, 625mM Tris, 160mM DTT, 160mM DTE), heated to 70°C for 15min and triturated to homogenize, cooled, and homogenates stored at -20°C. 10µL protein was mixed with 5µL fresh loading buffer (58% glycerol, 0.36M Tris, 6% Tris, 6% β-mercaptoethanol, 0.01% bromophenol blue) and loaded into 10% poly-acrylamide gels. Gels were run in running buffer (40mM Trizma Base, 200mM Glycine, 0.1% SDS) at 85V for 90min. Gels were washed in transfer buffer (25mM Tris, 192mM Glycine, pH 8.3) and proteins were transferred to PVDF (Biorad) at 85V for 1hr at 4°C. Membrane was washed in TTBS (20mM Tris, 0.5M NaCl, 0.1% Tween 20, pH 7.5) then blocked for 2hr (4% skim milk in TTBS). After washing again with TTBS, membrane was incubated overnight with primary antibody in TTBS with 5% bovine serum albumin (BSA). Primary antibodies used were rabbit-α-phospho-Akt (pAkt; 1:2000; Cell Signaling Technologies (CST), Danvers, Massachusetts), rabbit-α-phospho-MAPK (pMAPK; 1:2000; CST), or rabbit-α-β-catenin (1:5000; Santa Cruz). Membranes were washed in TTBS and incubated with goat-α-rabbit conjugated with horse radish peroxidase (1:1000; CST) as secondary antibody in TTBS with 5%BSA for 1hr. After secondary incubation, membranes were washed and imaged in a darkroom using film (GE Healthcare Life Sciences, Pittsburgh, Pennsylvania) and WesternBright ECL solutions (Advansta, Menlo Park, California). After imaging, membranes were stripped using stripping buffer (Fisher) before blocking again and incubating with either a second primary or HRP-conjugated α-pan-actin (1:5000; CST) for loading control. Membranes were stripped two times at most. Photographs were scanned for quantification.

### **3.2.4 Analysis**

All images were analyzed using ImageJ (NIH). Cells in immunocytochemistry experiments were counted, then analyzed to assess cells containing only f-actin inclusions, only  $\beta$ -catenin puncta, both not co-localized, and both co-localized. Each category was placed within a hierarchy ( $\beta$ -catenin puncta and f-actin inclusion co-localization > both f-actin inclusions and  $\beta$ -catenin puncta present, not co-localized >  $\beta$ -catenin puncta only > f-actin inclusions only) and each cell only assigned to one category to ensure no cell was counted twice. Immunoblots were analyzed for intensity values, then normalized to pan-actin, and finally experimental values normalized to control values. All statistical analysis was performed in PRISM.

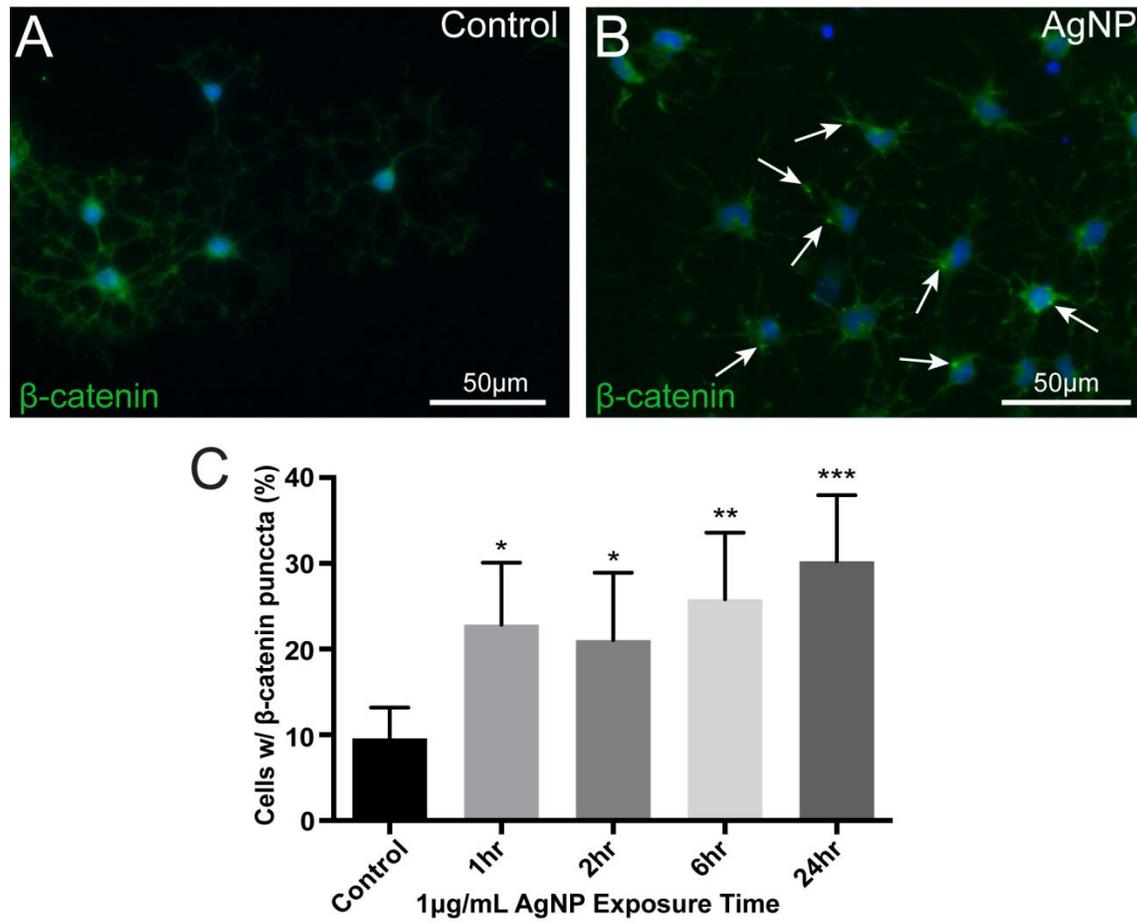
## **3.3 RESULTS**

### **3.3.1 Low-Level AgNP Induce Punctate $\beta$ -Catenin Expression in SVZ NSC.**

After being allowed to differentiate for 2-3 days, SVZ NSC were exposed to 1  $\mu$ g/mL AgNP for 1-24hr and immunostained for expression patterns of the canonical Wnt mediator  $\beta$ -catenin. Control cells contained a relatively uniform localization of  $\beta$ -catenin within each cell (Figure 7A), with very few cells exhibiting a punctate pattern of expression (Figure 7A). When cells were exposed to AgNP (Figure 7B), there was a noticeable formation of bright puncta at the plasma membrane containing  $\beta$ -catenin. The proportion of cells containing  $\beta$ -catenin puncta increases significantly in a time-dependent manner following AgNP exposure (Figure 7C).

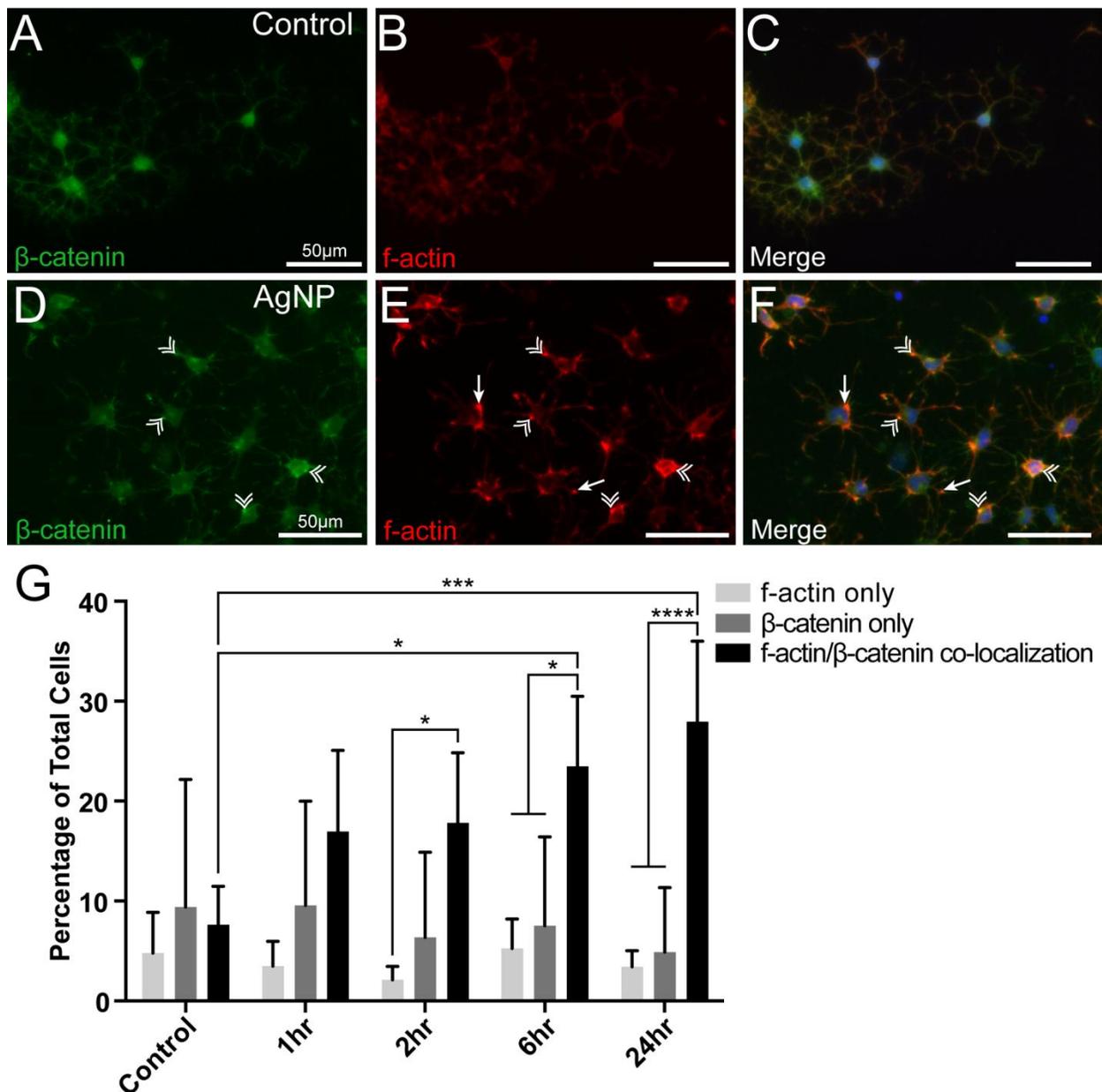
### **3.3.2 $\beta$ -Catenin Puncta Co-localize With f-Actin Inclusions.**

When AgNP-treated are immunostained for  $\beta$ -catenin (Figure 8A) and stained for f-actin (Figure 8B), cells with  $\beta$ -catenin puncta generally contain f-actin inclusions. Further,  $\beta$ -catenin puncta co-localize with f-actin inclusions (Figure 8C), and the proportion of cells with co-localizations increases significantly with longer low-level AgNP exposure time. A significantly



**Figure 7: AgNP exposure induces punctate β-catenin expression in differentiating NSC.**

Control cells expressing β-catenin in immunocytochemistry experiments typically exhibit uniform distribution, with very few cells having a punctate expression pattern (A). Following 1 μg/mL AgNP exposure, differentiating NSC undergo a qualitative increase in the proportion of cells with punctate expression (B; arrows). The increase in cells with punctate β-catenin expression is significant and time-dependent.  $p=0.0005$ , ANOVA,  $n=6$ . \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$  compared to control, Tukey's multiple comparisons. Blue is DAPI.



**Figure 8: Following AgNP exposure,  $\beta$ -catenin puncta and f-actin inclusions co-localize.**  $\beta$ -catenin (A) and f-actin (B) distribution within control cells (A-C) is relatively uniform. However, 1 $\mu$ g/mL AgNP induced the formation of  $\beta$ -catenin puncta (D) and f-actin inclusions (E). When overlaid (F), puncta and inclusions (arrow) often co-localize (double arrowhead). The proportion of total cells with co-localized f-actin inclusions and  $\beta$ -catenin puncta increases significantly over time and is significantly different from those that contain only f-actin inclusions or  $\beta$ -catenin puncta after AgNP (G;  $p=0.0047$ , Two-way ANOVA,  $n=6$ ;  $*p<0.05$ ,  $***p<0.001$ ,  $****p<0.0001$ ; Sidak's multiple comparisons). Blue is DAPI. Scale bars are 50 $\mu$ m.

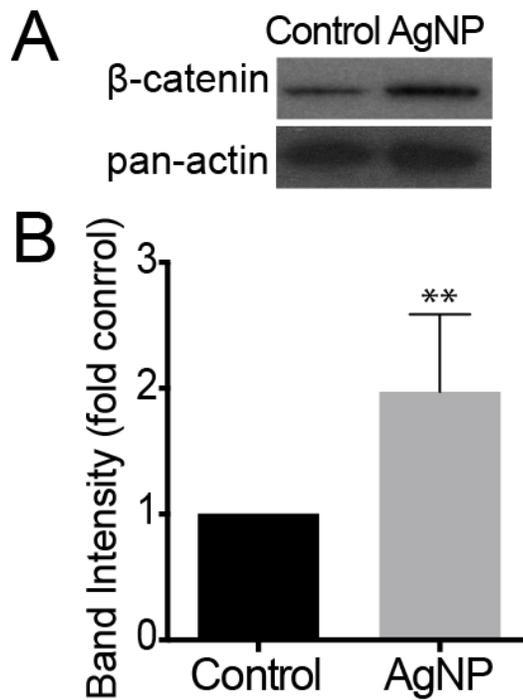
higher proportion of total cells exhibit co-localization than those with just f-actin inclusions or  $\beta$ -catenin puncta (Figure 8D). No significant change was observed in the proportion of cells with either only f-actin inclusions or only  $\beta$ -catenin puncta. The proportion of cells containing both  $\beta$ -catenin puncta and f-actin inclusions, but lacking co-localization, was less than 1% across all conditions (data not shown), indicating that  $\beta$ -catenin puncta and f-actin inclusions appear together. This also validates our method of counting total cells within a hierarchy.

### **3.3.3 Low-Level AgNP Exposure Increases Intracellular $\beta$ -Catenin.**

Differentiating SVZ NSC were exposed to 1  $\mu$ g/mL AgNP for 24hr before whole-cell homogenates were collected for Western blot analysis. Preliminary data suggest that low-level AgNP exposure does not alter levels of pAkt or pMAPK (data not shown), which might suggest that neither pathway is affected by AgNP in NSC. However, intracellular  $\beta$ -catenin levels increased significantly following low-level AgNP exposure (Figure 9). Unlike Akt and MAPK, where different degrees of Akt and MAPK phosphorylation to pAkt and pMAPK respectively are the results of pathway activation,  $\beta$ -catenin signaling activation causes increased intracellular levels of  $\beta$ -catenin itself (Munemitsu et al., 1995). Therefore, these results suggest an activation of  $\beta$ -catenin signaling following AgNP exposure.

## **3.4 DISCUSSION**

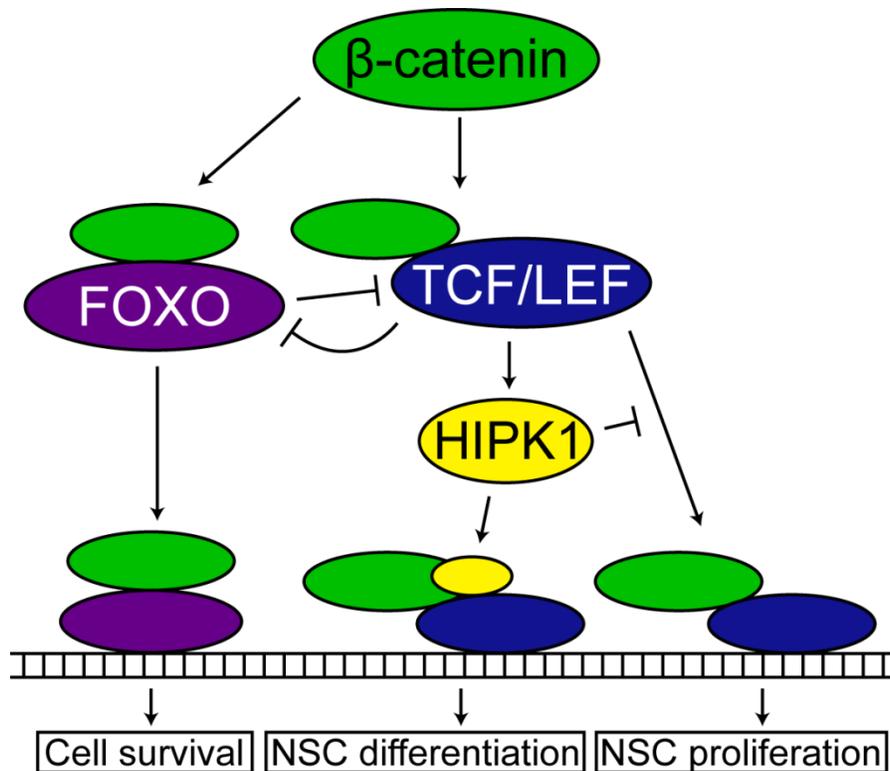
Tightly controlled  $\beta$ -catenin signaling is involved in regulation of almost every stage of neurogenesis, including proliferation, survival, differentiation, and neurite development (Adachi et al., 2007; Kuwabara et al., 2009; Lee et al., 2014; Lie et al., 2005; Marinaro et al., 2012; Yu and Malenka, 2003). Here, we show that both  $\beta$ -catenin localization and signaling are affected by low-level AgNP exposure. Following exposure to 1  $\mu$ g/mL AgNP for up to 24 hours,



**Figure 9: Low-level AgNP exposure increases intracellular  $\beta$ -catenin in SVZ NSC.**

Representative images of membranes following analysis of intracellular  $\beta$ -catenin content by Western blot (A). After differentiating SVZ-NSC were exposed to 1  $\mu$ g/mL AgNP for 24hr, intracellular  $\beta$ -catenin levels increased significantly when normalized to corresponding control conditions (B; \*\* $p < 0.01$ , two-tailed t-test,  $n = 3$ ), indicating an activation of  $\beta$ -catenin signaling. Pan-actin used as loading control. Data and images courtesy of Dr. Nadja Spitzer.

differentiating NSC experienced a time-dependent aggregation of intracellular  $\beta$ -catenin into puncta (Figure 7). Further, cells that contain  $\beta$ -catenin puncta also tend to contain previously-observed f-actin inclusions, with the two almost always co-localizing (Figure 8). Finally, the increase in  $\beta$ -catenin puncta is accompanied by increased intracellular  $\beta$ -catenin levels (Figure 9), indicative of activated  $\beta$ -catenin signaling (Munemitsu et al., 1995). This finding is contrary to what would be expected given previous data showing that neurite dynamics and arborization are inhibited following AgNP exposure (Figure 6), as  $\beta$ -catenin activation promotes both neurite extension and arborization (Lee et al., 2014; Yu and Malenka, 2003). However,  $\beta$ -catenin's effects are context-dependent (Summarized in Fig 3.4). Wnt/ $\beta$ -catenin signaling induces cell proliferation in NSC in the absence of Hipk1, but the presence of Hipk1 actively inhibits NSC proliferation and instead promotes neuronal differentiation (Marinaro et al., 2012).  $\beta$ -catenin can also bind to transcription factors from the forkhead box O (FOXO) family under stressful conditions, inhibiting TCF/LEF signaling and instead prioritizing cell survival over proliferation or differentiation (Almeida et al., 2007; Hooigeboom et al., 2008). Clearly, further work is needed to elucidate  $\beta$ -catenin's role in mediating the observed changes to NSC behavior (Figure 6). Additionally,  $\beta$ -catenin signaling can be activated by several intracellular signaling pathways, including Wnt (Hinck et al., 1994; Peifer et al., 1994) and Akt (Fukumoto et al., 2001; Haq et al., 2003; Sharma et al., 2002). AgNP have previously been shown to increase Akt signaling at low-level exposures (Dayem et al., 2014), which could correspond to an increase in  $\beta$ -catenin signaling. However, preliminary experiments found no significant change to Akt signaling. Therefore, the increase in intracellular  $\beta$ -catenin following AgNP exposure (Figure 8) may be mediated by some mechanism other than Akt signaling. Future experiments should focus on



**Figure 10: Summary of several  $\beta$ -catenin-transcription factor interactions in NSC.**

Following  $\beta$ -catenin signaling activation, intracellular  $\beta$ -catenin becomes available to bind transcription factors. Two possible targets are transcription factors in the TCF/LEF family or the FOXO family.  $\beta$ -catenin-TCF/LEF signaling, more closely associated with canonical  $\beta$ -catenin signaling under favorable conditions, is modulated by HIPK1, and promotes NSC differentiation in the presence of HIPK1 or NSC proliferation in the absence of HIPK1. Conversely,  $\beta$ -catenin will bind FOXO under stressful conditions, inhibiting  $\beta$ -catenin-TCF/LEF signaling and transcribing genes to promote cell survival rather than differentiation or proliferation.

Illustration © Robert Cooper, 2016.

on determining the mechanism behind AgNP-induced  $\beta$ -catenin signaling activation and other consequences of  $\beta$ -catenin's altered localization.

## **CHAPTER 4: SILVER NANOPARTICLES CROSS THE BLOOD-BRAIN BARRIER AND ACCUMULATE *IN VIVO*.**

### **4.1 INTRODUCTION**

Silver nanoparticles (AgNP) are known to be found in numerous consumer products, such as food packaging, toothpaste, and drinkware (Benn et al., 2010; Quadros et al., 2013; von Goetz et al., 2013). Therefore, implications of oral exposure are especially important. AgNP are known to survive the gastro-intestinal tract intact, agglomerating or dissolving in the stomach and then separating from agglomerates or re-forming from ions following bicarbonate neutralization in the duodenum (Walczak et al., 2013). From there, they can enter the bloodstream, migrate to various organs, and accumulate and persist long-term (Lee et al., 2013). In the brain, AgNP retain their nanoparticle form (Tang et al., 2008), bypass and damage the blood brain barrier (Sharma et al., 2010; Tang et al., 2008; Tang et al., 2010; Xu et al., 2015a) and accumulate (Wen et al., 2016) with a half life greater than four months (Lee et al., 2013).

Multiple studies have shown adverse effects following AgNP exposure *in vivo*. In rats, two-week exposure to AgNP (0.2mg/kg) caused degeneration of synaptic structure and downregulation of synaptic proteins (Skalska et al., 2014). Another two-week study (1-10mg/kg) found neuron shrinkage, astrocyte swelling, and induction of inflammatory response (Xu et al., 2015b). No changes in hippocampal neurogenesis or cognitive performance were observed following seven days' AgNP exposure (10-50mg/kg) in mice, either immediately or after 28 days of recovery (Liu et al., 2013). Conversely, two weeks' exposure to AgNP (3-30mg/kg) was found to reduce spatial cognition in rats (Liu et al., 2012). The reduction of long-term potentiation, a process normally increased during learning, and increased oxidative stress were both identified as possible mechanisms for these effects (Liu et al., 2012). However, the authors

did not examine associated changes to neurogenesis. As AgNP are found in numerous products encountered on a daily basis (Benn et al., 2010; Quadros et al., 2013; Yang and Westerhoff, 2014) and previous work demonstrates that low-level AgNP exposure may disrupts neural stem cell physiology (Cooper and Spitzer, 2015), possible changes to neurogenesis following longer-term *in vivo* exposure should be examined.

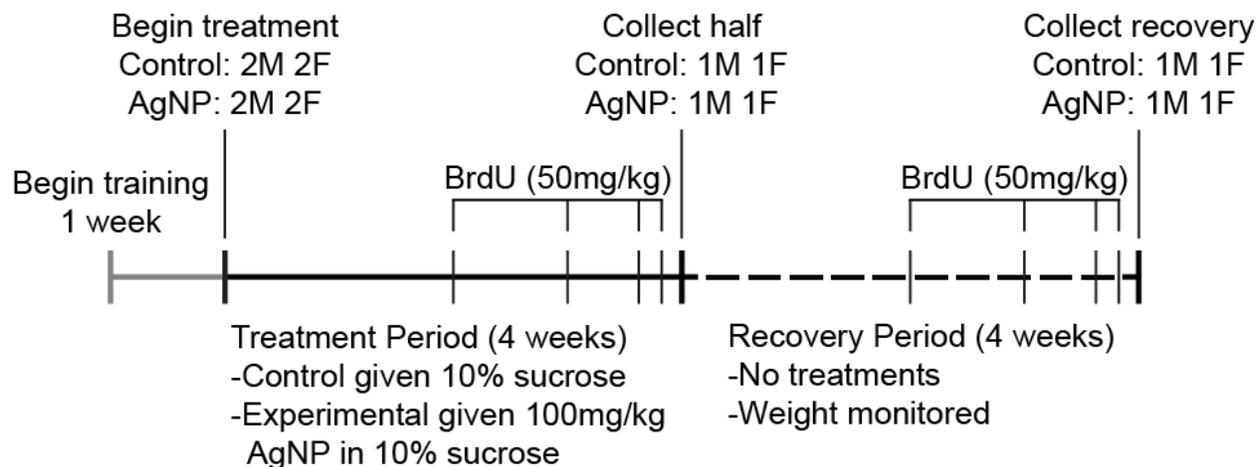
Another area in need to study is AgNP's effects on the electrical activity of newly differentiated cells. Adult neural stem cells (NSC), the proliferative cells found in the subventricular zone of adult mammals, are originally hyperpolarized and have high potassium permeability (Scheffler et al., 2005; Stewart et al., 1999). During differentiation, they undergo a series of complex changes during which their resting potential rises, making them more excitable (Scheffler et al., 2005). Though they undergo few spontaneous synaptic events before the completion of differentiation, they eventually gain voltage-gated sodium channels that allow them to fire action potentials (Belluzzi et al., 2003; Carleton et al., 2003; Scheffler et al., 2005; Stewart et al., 1999; Wang et al., 2003). Therefore, this change in membrane properties from hyperpolarized to excitable is a vital part of NSC differentiation into mature neuronal phenotypes (Scheffler et al., 2005). AgNP can inhibit voltage-gated sodium channels (Busse et al., 2013; Liu et al., 2009b). Further, they can inhibit voltage-gated potassium channels, both transient and delayed-rectifier types (Liu et al., 2011). However, these studies have all been carried out on primary cultures, on cells that had previously been allowed to develop mature membrane properties. Changes to membrane properties following AgNP exposure during cell differentiation have not yet been investigated.

## 4.2 MATERIALS AND METHODS

### 4.2.1 *In Vivo* AgNP Exposure and BrdU Treatment

Young adult Sprague-Dawley rats were purchased from Hilltop and cared for under Marshall University IACUC protocol 598. Animals were maintained in environmentally-controlled cages on a 12-hour day/night cycle with access to standard chow and water *ad libitum*. As detailed in Figure 11, after being allowed to acclimate, animals were trained daily for 7d to take 10% sucrose (Fisher) solution orally from a syringe when presented (Atcha et al., 2010) at 1:00pm plus or minus 2hr. Following training, control animals (n=16, 8 male, 8 female) were maintained on daily feedings of 10% sucrose. Experimental animals (n=16, 8 male, 8 female) were given 100mg/kg 40nm uncoated AgNP (NanoAmor, Houston, Texas) suspended in 10% sucrose. Dosing volume was 2mL/kg. Each group of animals consisted of equal numbers of males and females, with equal numbers of each treated with AgNP or sucrose vehicle for 28d. Sucrose solutions and AgNP suspensions were prepared fresh daily as 20% sucrose and 100mg/mL AgNP in diH<sub>2</sub>O. AgNP suspensions were made by fifteen minutes of sonication and cooled to room temperature on ice. Solutions for feeding were made as a 1-to-1 mixture of 100mg/mL AgNP and 20% sucrose for AgNP-treated animals or as 20% sucrose with diH<sub>2</sub>O for control animals.

To label the entire subventricular zone-rostral migratory stream-olfactory bulb (SVZ-RMS-OB) system, animals were given intraperitoneal injections of BrdU at 14, 7, 2, and 1d before collection. Injections were administered following brief anesthesia with isoflurane (Kent Scientific) to reduce animal stress. Tissue from half of each group was collected following 28d of treatment as described below. Other animals in each group were allowed 28d recovery, with



Repeat, staggered by 3 weeks to avoid overlap of collection dates.  
8 animals per group (4M, 4F), 4 groups; total 32 animals (16M 16F)  
n=4 (n=8 if pooling sexes)

**Figure 11: Schedule of animal experiments.** All stages and steps were performed on equal numbers of males and females. All animals were trained for one week to accept 10% sucrose from a syringe. Daily for 4 weeks, half of each group was given 100mg/kg AgNP in 10% sucrose at a dosing volume of 2mL/kg, with the other half given vehicle control. Tissue was collected from half of each treatment group immediately following treatment completion, with the other half collected after 4 weeks of recovery. All animals were given intraperitoneal injections of BrdU at 50mg/kg 14, 7, 2, and 1d before collection in an attempt to label the entire SVZ-RMS-OB system (Figure 1B). Weight and behavior were monitored daily throughout treatment.

no AgNP or sucrose treatment. Animal weight and behavior were monitored daily during treatment.

#### **4.2.2 Tissue Collection and Sectioning**

Animals were euthanized with deep isofluorane followed by bilateral pneumothorax. Cardiac perfusion was performed first with 150mL PBS1 (2.2.1) to ensure exsanguination followed by perfusion with 500mL of fresh 4% paraformaldehyde (PFA) in PBS1. Upon completion, heads were removed and brains collected. As AgNP are known to accumulate in cerebellum at levels similar to neurogenic niches (Wen et al., 2016), cerebella were removed using a razor blade and placed in tared tubes for silver content analysis, and brains were placed in 4% PFA postfix for one day. After postfix, brains were moved to 30% sucrose with 0.01% sodium azide (Sigma) in PBS2 (2.2.4) and stored at 4°C. Fixed tissue was embedded in Tissue Tek OCT Compound (Sakura Finetek, Torrance, California,), sectioned at 30µm using a Leica CM3050 S cryostat (Leica), and stored as free-floating sections in cryoprotectant (30% Glycerol (AMRESCO), 30% Ethylene Glycol (AMRESCO), 40% PBS2) at -20°C.

#### **4.2.3 Immunohistochemistry**

Sections were placed in four 5min washes with PBS2, then immersed in 1% NaBH<sub>4</sub> (Sigma) in PBS2 for 20 minutes to reduce background autofluorescence (Spitzer et al., 2011). After washing six times with PBS2 and once with diH<sub>2</sub>O, sections were immersed in 2N HCl for 30min at 48°C and neutralized in 0.1M Borate (pH 8.5; Fisher) for 12 minutes, followed by washing once with diH<sub>2</sub>O, then three times with PBS2. Sections were blocked in 10% normal goat serum (NGS; Life) in PBS2 + 0.3% Triton-X (PBTx) for 1hr, followed by PBS2 washes. Sections were placed in tubes with primary antibody at 1:500 in 1% NGS in PBTx overnight. Primary antibodies used were mouse-α-BrdU (Abcam) and rabbit-α-β-tubulinIII (Abcam),

rabbit- $\alpha$ -nestin (Abcam), rabbit- $\alpha$ -DCX (Millipore), or rabbit- $\alpha$ -NeuN (Abcam). Sections were washed four times, then placed in tubes with secondary antibody at 1:500 in 1% NGS and PBTx overnight. Secondary antibodies were goat- $\alpha$ -rabbit-Alexa 488 (Life) and goat- $\alpha$ -mouse Alexa 568 (Life). After washing with PBS2, sections were immersed in CuSO<sub>4</sub> solution (1mM CuSO<sub>4</sub> (Sigma), 50mM Ammonium Acetate (Fisher)) for 1hr, washed again with diH<sub>2</sub>O and PBS2, and then counterstained with DAPI in PBS2 for 1hr. Sections were floated onto Fisherbrand Superfrost Plus slides (Fisher) and sealed in Prolong Gold and allowed to cure overnight. Sections were imaged using an epifluorescent microscope (Leica).

#### **4.2.4 Silver Content Analysis**

Wet silver content of cerebella was analyzed by Dr. John W. Olesik in the Trace Element Research Laboratory at Ohio State University School of Earth Sciences by inductively coupled plasma mass spectrometry.

#### **4.2.5 Patch Clamp Electrophysiology**

Cells were cultured and plated as described in (2.2.1) and (2.2.2). For experiments, cells were constantly perfused with warm sterile bath solution (130mM NaCl (Fisher); 3mM KCl (BioExpress); 4mM MgCl<sub>2</sub> (Sigma); 1mM CaCl<sub>2</sub> (Avantor; Center Valley, Pennsylvania, United States); 2.5mM EGTA (Sigma); 10mM HEPES (Fisher); 5mM Glucose (BioExpress); pH 7.4) and maintained at approximately 35°C. Whole-cell recordings were taken using an Axopatch 200B (Molecular Devices; Sunnyvale, California, United States) with CV 203BU headstage (Molecular Devices), with the program CLAMPEX 10.3 (Molecular Devices) delivering command potentials. Electrodes were 2-3 M $\Omega$  and pulled from thin-wall capillary glass (A-M Systems; Sequim, Washington, United States) using a P-97 Flaming/Brown micropipette puller (Sutter Instruments; Novato, California, United States). In-electrode solution consisted of

140mM KCl, 2mM MgCl<sub>2</sub>, 1mM CaCl<sub>2</sub>, 2.5mM EGTA, 1mM HEPES, and 3mM ATP at pH 7.4 with a final 287mOsm. A step protocol was applied that held cells between -80 and +60mV for 100ms following 50ms prepulse at -80mV. Tail currents were analyzed with 50ms voltage steps between -100mV and -50mV following a 75ms prepulse at +20mV. Both protocols utilized a holding potential of -80mV. Inactivation/activation dynamics were analyzed using a step protocol that held cells between -100 and +60mV for 100ms from a holding potential of -40mV.

## **4.3 RESULTS**

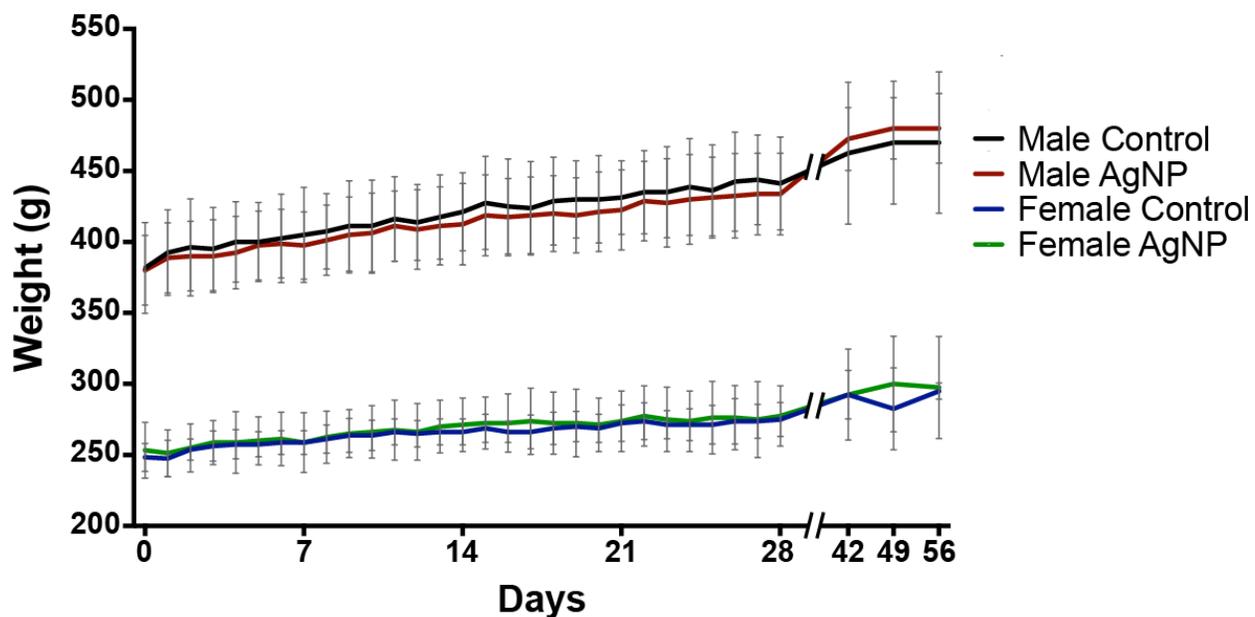
### **4.3.1 Silver Nanoparticle Treatment Does Not Alter Rat Growth**

During the course of treatment and recovery, animal weight and behavior were monitored for signs of stress or toxicity. With only one exception, animals readily took sucrose solution or AgNP-containing sucrose solution from syringes after 7 days of training. The one exception was placed in the control group and eventually did take sucrose solution after several more days, and no changes were observed in the animal's growth compared to other control animals.

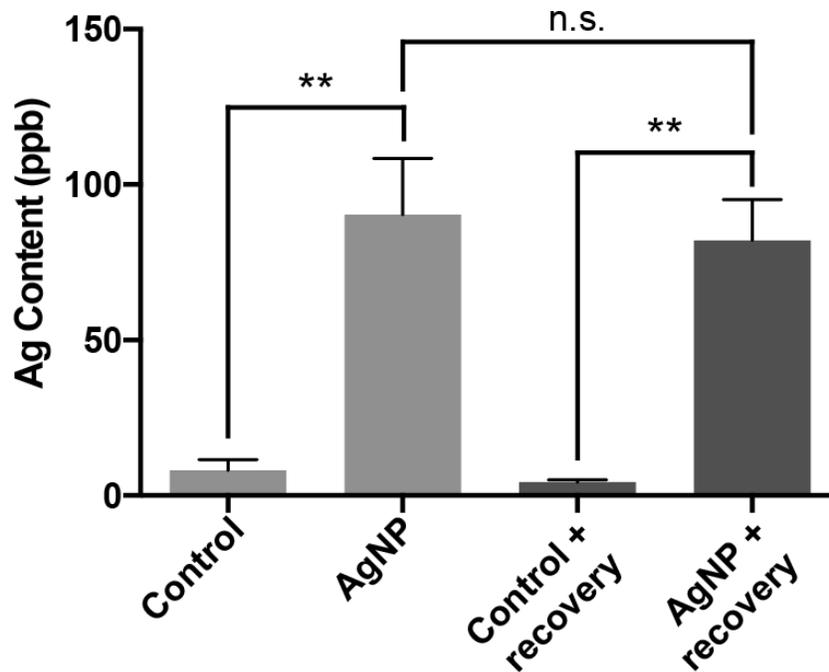
Qualitatively, presence of AgNP in feeding solutions seemed to have no adverse effects on health or behavior in treated animals. No animals performed behaviors characteristic of stress or pain, such as head-pressing. Neither male nor female AgNP-treated animals' weights were significantly different from control at any time point during treatment or recovery (Figure 12).

### **4.3.2 Silver Nanoparticles Cause Silver Accumulation and Persistence in Rat Brains**

Following perfusion, cerebella were removed and placed in tared tubes for Ag wet content analysis by ICP-MS. AgNP-treated animals had significantly higher Ag load than control animals, both immediately after treatment and after 28 days of recovery (Figure 13). Though there was a decrease in Ag content of AgNP-treated animals' brains during recovery (mean decrease of 8ppb), it was not a significant decrease (Figure 13). Further, the average Ag content



**Figure 12: Growth curve of animal subjects by sex and treatment.** Animal weights were recorded daily during treatment, then at 14, 21, and 28d during recovery (42, 49, and 56d). Weight of AgNP-treated animals did not differ significantly during treatment (n=8) or recovery (n=4). (p=0.9995, 2-way ANOVA; no significance between treatment groups at any timepoint; Sidak's multiple comparisons)



**Figure 13: Ag accumulates and persists in animal brains following AgNP exposure.** After 28 days of treatment, AgNP-treated animal's brains contained significantly more Ag than untreated animals. This difference was maintained even after recovery, with Ag loads of AgNP-exposed animals not decreasing significantly after a 28-day recovery period. ( $p < 0.001$ , ANOVA,  $n = 3$ ;  $**p < 0.01$ , Tukey's multiple comparisons)

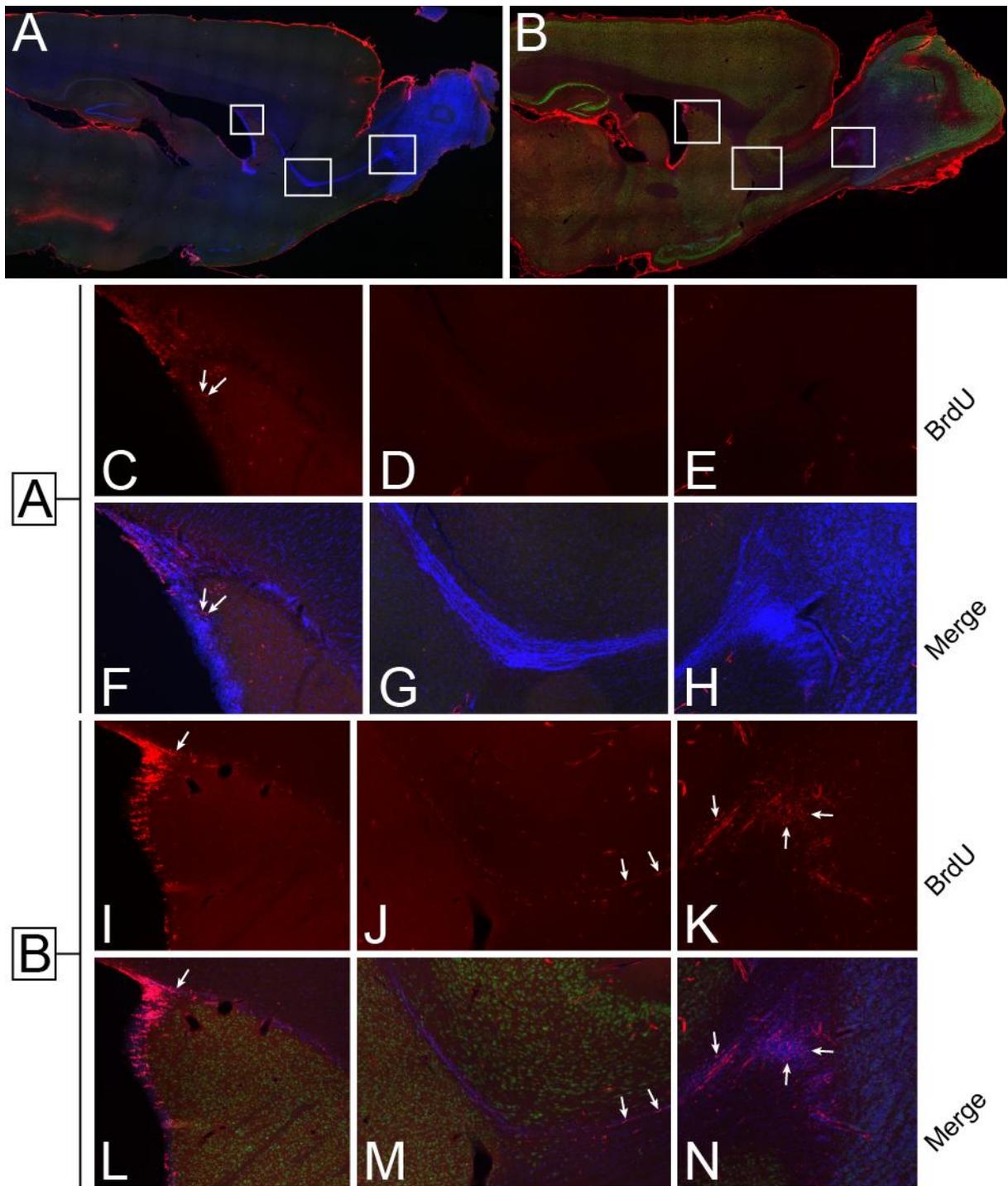
of AgNP-treated animals (90.25ppb, or 0.09ppm) falls within the range of concentrations used for pilot immunocytochemistry experiments (0.05-2.0ppm; 2.2.2). It is also approximately one order of magnitude away from concentrations used in comet assay (2.2.5), time lapse experiments (2.2.7), later immunocytochemistry experiments (3.2.2), and cells collected for Western blot (3.2.3) (1ppm).

#### **4.3.3 Immunohistochemistry of Brain Sections Reveals Inconclusive BrdU Label**

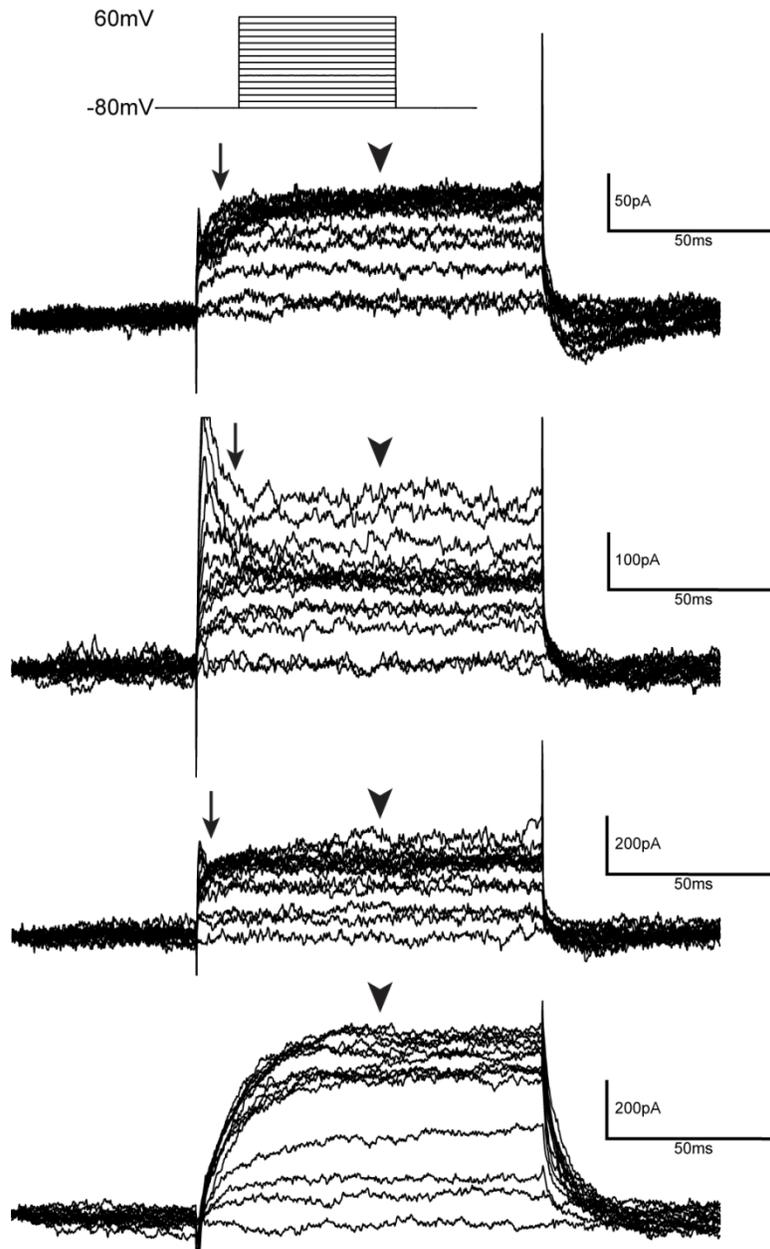
Animals were given injections of BrdU at 14, 7, 2, and 1 days pre-collection to label dividing cells in the SVZ and the differentiated cells derived from them in the entire SVZ-RMS-OB system. Sections were immunolabeled for markers of NSC differentiation and BrdU to assess co-labeling and determine fate of differentiating NSC during treatment and recovery. However, while labeling of differentiation markers like nestin (Figure 14A, green) and NeuN (Figure 14B, green) was successful, BrdU labeling revealed inconsistent areas of BrdU<sup>+</sup> cells. While most times BrdU<sup>+</sup> cells were present in the SVZ, cells in the OB only occasionally labeled as BrdU<sup>+</sup>, and cells in the RMS rarely labeled as BrdU<sup>+</sup> (Figure 14). A different  $\alpha$ -BrdU antibody was tested, but ultimately did not produce significantly different results (data not shown).

#### **4.3.4 Patch Clamp Electrophysiology Results Are Incomplete**

Electrophysiology experiments encountered numerous technical setbacks. Only four recordings were successfully obtained from control cells. Of these four, all show evidence of a slow-activating, slow-inactivating outward current, possibly from K<sup>+</sup> outward delayed rectifier channels (Figure 15). Similarly, three show evidence of a fast-activating, fast-inactivating outward current, possibly from A-type K<sup>+</sup> channels. Also, there is high variability between the measured cells, with peak currents from the slow-activating current varying from 110pA to



**Figure 14: BrdU label is inconsistent in SVZ-RMS-OB system.** Sections were processed using immunohistochemistry for BrdU (red) and, among others, Nestin (A; green) or NeuN (B; green). While BrdU label (arrows) was generally present in the SVZ (C, F, I, L), labeling in the RMS (D, G, J, M) and OB (E, H, K, N) was only present in some sections, even when the corresponding structures were visible with DAPI staining of nuclei (blue). Inconsistent staining was present in both control (A) and AgNP-exposed (B) brains.



**Figure 15: Control cell voltage clamp traces following IV-curve protocols.** Cells were held at -80mV subjected to 10mV steps from -80mV to +60mV. All cells appear to contain slow-activating, slow-inactivating outward currents (arrowheads), possibly delayed rectifier  $K^+$  channels. Three show evidence of fast-activating, fast-inactivating A-type  $K^+$  currents (arrows). However, the current amplitudes are too variable ( $I_{max}$  from 110pA to 650 pA) to plot a meaningful IV-curve. Attempts to further elucidate activation/deactivation dynamics with a tail current protocol and inactivation holding voltage (+40mV) failed due to repeated gigaseal loss.

almost 650pA. Therefore, attempts to plot an IV-curve of the data were inconclusive. No recordings were successfully obtained from AgNP-treated cells.

#### 4.4 DISCUSSION

AgNP accumulate in various tissues *in vivo*, including the brain (Buzulukov Iu et al., 2014; Ji et al., 2007; Kim et al., 2008b; Lee et al., 2013; Wen et al., 2016). Reports of their effects *in vivo* vary, with some studies claiming no observable effects (Ji et al., 2007; Liu et al., 2013; Munger et al., 2015; Munger et al., 2014), while others have found evidence of adverse effects in mammal models (Hadrup et al.; Kim et al., 2008b; Kovvuru et al., 2015; Li et al., 2014; Liu et al., 2012; Skalska et al., 2014; Tang et al., 2008; Tiwari et al., 2011; Xu et al., 2015a; Yin et al., 2015a) in the absence of overt signs of stress like changes to body weight, food and water intake, and behavior. Even then, few studies have analyzed AgNP's effects on adult neurogenesis *in vivo*, and none following more than seven days' exposure (Liu et al., 2013). Therefore, investigations into AgNP's effects on adult neurogenesis *in vivo* are especially prudent, as several studies have shown that AgNP are capable of altering neurogenesis *in vitro* at various exposure levels ranging from 0.1 $\mu$ M (Dayem et al., 2014), to 1 $\mu$ g/mL (Cooper and Spitzer, 2015), to 25 $\mu$ g/mL (Oh et al., 2015). This work attempted to bridge this gap by analyzing NSC proliferation, migration, and differentiation of newly-generated NSC within the SVZ-RMS-OB system in young-adult rats following AgNP exposure.

Twenty-eight-days' treatment with 100mg/kg uncoated 40nm AgNP produced significantly elevated Ag levels in brain tissue (Figure 13) without altering growth (Figure 12) or inducing signs of stress. Immediately following treatment, brains contained an average of 90ppb Ag, and after 28 days of recovery contained approximately 82ppb (Figure 13), though this decrease was not statistically significant. Identical dosing parameters with 10nm AgNP resulted

in brain Ag content of approximately 300ppb, whereas Ag content from 25nm AgNP treatment was closer to 200ppb (Lee et al., 2013). In other studies, intragastric exposure to 6nm AgNP at 100µg/kg for 28 days resulted in brain Ag content of 36ppb (Buzulukov Iu et al., 2014), while subcutaneous injection of 50-100nm AgNP at 62.8mg/kg for 2-24 weeks resulted in brain Ag levels ranging from 200-400ppb (Tang et al., 2008). However, accumulation dynamics of AgNP differ with size, with smaller AgNP tending to have greater accumulation (Anderson et al., 2015; Boudreau et al., 2016; Lee et al., 2013). Therefore, Ag levels observed in brain tissue from this study using 40nm AgNP are in line with the results of other studies. Further, the lack of a significant decrease in Ag content after 28 days' recovery is consistent with many studies demonstrating the high longitudinal retention of AgNP in the brain (Lankveld et al., 2010; Lee et al., 2013; Wen et al., 2016).

Analysis of NSC fate *in vivo* following AgNP treatment or recovery was hampered by lack of consistent BrdU labeling throughout the SVZ-RMS-OB system (Figure 14). BrdU was administered at 14, 7, 2, and 1 days pre-collection, as previous work has shown that, following a single BrdU injection to label dividing cells and their progeny, most BrdU-positive cells have migrated from the SVZ to the OB after 15 days (Lois and Alvarez-Buylla, 1994). However, cells positive for BrdU and other temporally-specific markers of cell division can appear in the OB as quickly as two to four days after treatment (Lois and Alvarez-Buylla, 1994; Parent et al., 2002; Perez-Asensio et al., 2013). Indeed, migrating neuroblasts from the SVZ take 2-7 days to traverse the RMS, up to 2 days to migrate to their final location within the OB, and then anywhere from 2 to 21 days to complete differentiation into mature neurons (Petreanu and Alvarez-Buylla, 2002). However, a period of 8-12 days appears to be sufficient to see convincing label of cells in the OB (Zigova et al., 1998). Perhaps instead of the BrdU protocol used in these

experiments, a more effective method would be a series of seven 50mg/kg treatments, once every two days beginning twelve days before collection, with the final three treatments administered three, two, and one days before collection. This should allow sufficient time for labeled cells to reach the OB and begin differentiation, while also ensuring that labeled cells are still present in the RMS. Additional administrations may be necessary, as BrdU is present in the blood and labels CNS tissue for only two hours following IP injection (Hayes and Nowakowski, 2000; Matiasova et al., 2014; Packard et al., 1973). Whether such measures would be necessary to label the entire SVZ-RMS-OB system in rats is presently unknown.

This experiment also proposed to analyze altered electrophysiological properties of differentiating NSC in response to AgNP treatment. Normally, differentiating NSC proceed through a series of tightly-controlled electrophysiological events (Scheffler et al., 2005). AgNP inhibit both voltage-gated sodium (Busse et al., 2013; Liu et al., 2009b) and potassium (Liu et al., 2011) channel activity, validating their ability to interfere with membrane properties in mature neural cells. However, AgNP exposure occurs throughout life, while NSC are in various stages of differentiation. Indeed, interference of NSC electrophysiological development due to disease state can lead to a loss of NSC proliferation and development of aberrant membrane properties during differentiation (DiFebo et al., 2012). Therefore, though this study did not achieve its goal, determining how NSC membrane properties can be altered during differentiation by AgNP exposure remains an important, necessary field of investigation.

## CHAPTER 5: CONCLUSIONS AND FUTURE DIRECTIONS

Previous work has convincingly established that silver nanoparticles (AgNP) have potential use as an antimicrobial agent (Samuel and Guggenbichler, 2004; Sondi and Salopek-Sondi, 2004). They perforate the bacterial membrane (Kora and Sashidhar, 2014; Li et al., 2013; Su et al., 2009), bind to and disrupt proteins involved in cellular processes (Choi et al., 2008; Du et al., 2012; Wigginton et al., 2010), and damage DNA (Radzig et al., 2013; Vishnupriya et al., 2013), eventually resulting in the death of the cell. They successfully inhibit the growth of even highly antibiotic-resistant strains of pathogenic bacteria (Abdel Rahim and Ali Mohamed, 2015; Actis et al., 2015; Jun et al., 2015; Li et al., 2013). Beyond bacteria, AgNP inhibit the growth of fungal pathogens (Hwang et al., 2012; Kim et al., 2008a; Monteiro et al., 2011; Panacek et al., 2009), even those that are resistant to traditional antimycotics (Artunduaga Bonilla et al., 2015), and have been suggested as a treatment for fungal infections of the skin (Anwar et al., 2016). Further, AgNP can prevent the spread of key viruses such as human immunodeficiency virus (Elechiguerra et al., 2005; Lara et al., 2010a; Lara et al., 2010b; Sun et al., 2005b), herpes simplex virus (Baram-Pinto et al., 2009; Gaikwad et al., 2013), multiple strains of influenza virus (Xiang et al., 2013; Xiang et al., 2011), and many others (Chen et al., 2013a; Lu et al., 2008; Rogers et al., 2008; Sun et al., 2008; Trefry and Wooley, 2013). Indeed, AgNP have been proposed as a coating for contraceptive devices to prevent the spread of sexually-transmitted infections, both viral and bacterial (Mohammed Fayaz et al., 2012). They have also been incorporated into catheter coatings to prevent infection (Samuel and Guggenbichler, 2004), and are used in burn dressings to speed healing (Bidgoli et al., 2013).

Despite the potential of these applications, AgNP-treated products result in direct consumer exposure (Benn et al., 2010; Benn and Westerhoff, 2008; Cushen et al., 2013; Kaegi et

al., 2010; Quadros and Marr, 2011; Quadros et al., 2013; von Goetz et al., 2013). AgNP will also leach into the environment, eventually ending up in waste water, the atmosphere, and soil (Blaser et al., 2008; Hashimoto et al., 2015; Ji et al., 2007; Quadros and Marr, 2010; Sekhon, 2014; Tugulea et al., 2014). In water and soil, AgNP can persist for months, possibly even years (Dale et al., 2015; Loza et al., 2014; Sekine et al., 2015; Tugulea et al., 2014) by dynamic dissolution and reformation (Yu et al., 2014) dependent upon local conditions and particle coating (Levard et al., 2012; Sekine et al., 2015; Yin et al., 2015c). Given the lack of studies examining environmental levels of AgNP in soil or water, variability in AgNP-containing product use within the population, and their highly location-dependent behavior, an estimate of actual exposure is difficult at best (Whiteley et al., 2013).

A great deal of research has focused on AgNP's toxic effects in numerous eukaryotic cell types at high concentrations (Reviewed in Bartlomiejczyk et al., 2013 and Kruszewski et al., 2011). They generate reactive oxygen species, break down the cytoskeleton and membrane, damage DNA, and disrupt protein function (Ahamed et al., 2008; Ahlberg et al., 2014; Arora et al., 2008; Dubey et al.; Gliga et al., 2014; Haase et al., 2012; Jiang et al., 2013; Kawata et al., 2009; Kim et al., 2012; Kim et al., 2009; Miura and Shinohara, 2009; Wise et al., 2010; Xu et al., 2013). Further, they are capable of crossing biological defenses such as the blood-air barrier in the lungs (Ji et al., 2007; Oberdorster et al., 2004), the intestinal barrier (Boudreau et al., 2016; Gaillet and Rouanet, 2015; Kovvuru et al., 2015; Xu et al., 2015b), the blood-testis barrier (Lee et al., 2013; Sleiman et al., 2013), the placental barrier (Melnik et al., 2013), and the blood-brain barrier (Lee et al., 2013; Sosedova et al., 2015; Tang et al., 2008; Tang et al., 2010). AgNP can bypass these defenses altogether, such as following their nasal inhalation and subsequent retrograde transport down the olfactory nerve to the brain (Danscher and Lochter, 2010;

Oberdorster et al., 2004; Simko and Mattsson, 2010), thereby bypassing the blood-brain barrier. Once they have entered the body, AgNP accumulate in many tissues including the liver, kidneys, and brain (Lee et al., 2013). Numerous studies have noted that retention times in the brain are significantly higher than other organs (Lankveld et al., 2010; Wen et al., 2016). Therefore, studying the effects of AgNP on brain health is especially prudent. Further, the majority of studies concerned with the biological effects of AgNP have focused on their toxicity and its underlying mechanisms, with little research focused on the consequences of AgNP exposure at levels that do not cause loss of cell viability.

This research aimed to fill that gap, using adult neural stem cells (NSC) from the brains of young adult rats as an accepted *in vitro* model of neurodevelopment, NSC behavior, and neural cell function in general (Aimone et al., 2014; Gage, 2000; Ge et al., 2008). NSC were exposed to uncoated 40nm AgNP *in vitro* at concentrations ranging from 0.05-2.0 $\mu$ g/mL, levels previously shown to not reduce viability in cultured mammalian cells (Table 1). This was confirmed here via alkaline comet assay, where AgNP exposure did not change Olive moment distribution compared to negative controls (Figure 4), indicating no damage to DNA beyond basal levels (Olive and Banath, 2006; Olive et al., 1990). However, low-level AgNP exposure induced the formation of f-actin inclusions in a dose- and time-dependent manner, irrespective of AgNP localization within cells (Figure 5) that appear visually similar to those seen following f-actin disrupting toxins (Lazaro-Diequez et al., 2008; Muller et al., 2013). Further, AgNP exposure resulted in  $\beta$ -catenin puncta (Figure 8) that co-localized with f-actin inclusions (Figure 9), hinting at recruitment or disruption of  $\beta$ -catenin signaling accompanied by loss of f-actin dynamics. This was supported by the loss of neurite dynamics and arborization, both

cytoskeleton-driven processes mediated by  $\beta$ -catenin (Lee et al., 2014; Yu and Malenka, 2003), in time-lapse experiments following AgNP exposure (Figure 6).

Questions remain concerning the composition of these f-actin inclusions, the mechanism of their formation, and whether or not their presence mediates observed deficits in cytoskeleton dynamics. AgNP are capable of reacting with and disrupting structures of membrane components (Ansari et al., 2014), so f-actin inclusion formation may begin with disruption to localized chemistry of the membrane itself.  $\beta$ -catenin is known to bind to several proteins with variable function, such as the actin-bundling protein fascin (Tao et al., 1996) and cadherin adhesion complexes (Wheelock and Knudsen, 1991). During canonical signaling,  $\beta$ -catenin enters the nucleus and binds transcription factors in the T-Cell Factor/Lymphoid Enhancer Factor (TCF/LEF) family (Molenaar et al., 1996), promoting either proliferation or neuronal differentiation in NSC in a context-dependent manner (Marinero et al., 2012; Qu et al., 2010; Wisniewska, 2013). Alternatively,  $\beta$ -catenin can bind factors from the Forkhead Box O (FOXO) family (Essers et al., 2005) under conditions associated with stress, especially oxidative stress, to promote antioxidant response, DNA repair, cell quiescence, and cell survival (Almeida et al., 2007; Martins et al., 2016). However, upregulation of E-cadherin can reduce the activity of  $\beta$ -catenin by sequestering  $\beta$ -catenin at the membrane (Huels et al., 2015), even under conditions that promote activation of  $\beta$ -catenin signaling. Adenomatous polyposis coli (APC), a protein usually involved in degradation of  $\beta$ -catenin (Munemitsu et al., 1995), can also recruit  $\beta$ -catenin to the membrane, thereby allowing APC to aid in cellular migration (Sharma et al., 2006). Therefore, the formation of observed f-actin inclusions with co-localized  $\beta$ -catenin puncta following AgNP exposure may be mediated by the dysregulation of these mechanisms.  $\beta$ -catenin may be recruited through its canonical cadherin-associated linkage to the f-actin cytoskeleton

(Wheelock and Knudsen, 1991), or perhaps  $\beta$ -catenin is involved in the recruitment of f-actin through fascin binding (Tao et al., 1996).

Fascin is necessary for neurite dynamics and migration in SVZ NSC (Sonogo et al., 2013), and its recruitment to and sequestration within f-actin inclusions could explain the observed loss of neurite dynamics and arborization following AgNP exposure (Figure 6). Alternatively, if  $\beta$ -catenin is sequestered while bound to TCF/LEF transcription factors, this would result in a loss of function. As temporally- and spatially-regulated TCF/LEF signaling is vital for proper proliferation and differentiation of NSC (Wisniewska, 2013), the resulting lack of proper signaling could also explain observed changes to NSC behavior following AgNP exposure. Further,  $\beta$ -catenin sequestration within f-actin inclusions may explain why AgNP exposure induced an increase in intracellular  $\beta$ -catenin (Figure 9), yet analysis of NSC behavior is more consistent with aberrant or decreased  $\beta$ -catenin signaling (Lee et al., 2014; Marinaro et al., 2012; Yu and Malenka, 2003). Therefore, this work collectively suggests that low-level AgNP exposure disrupts  $\beta$ -catenin function in differentiating NSC, possibly through sequestration of  $\beta$ -catenin and subsequent sequestration of its associated proteins. Future research should therefore examine localization of these partner proteins. If possible, it should also determine the degree of  $\beta$ -catenin-associated transcription factor binding in response to the observed increase in intracellular  $\beta$ -catenin, especially to genes known to be involved in neuronal differentiation like *NeuroD1* and *Prox1* (Wisniewska, 2013).

In addition to being visually similar to cytoskeletal changes seen after treatment with f-actin-disrupting toxins (Lazaro-Diequez et al., 2008; Muller et al., 2013), f-actin inclusions also appear similar to structures termed actin patches (Gallo, 2013). Actin patches are transient nucleations of f-actin normally observed during neurite initiation, neurite branching, and axon

retraction (Gallo, 2006; Ketschek and Gallo, 2010; Spillane and Gallo, 2014; Spillane et al., 2011). They are also found in the initial segment of axons, where they are thought to prevent the trafficking of dendritic proteins (Chen et al., 2013b; Watanabe et al., 2012). Similarly, actin patches are thought to act as promoters of axon branching by halting the transport of and recruiting mitochondria, translational machinery, and protein components necessary for branching (Spillane and Gallo, 2014; Spillane et al., 2013). However, actin patches are normally only present for short periods of time, approximately 20-50 seconds (Spillane et al., 2011), and act as the foundations for initial extension of filopodia that may later be stabilized by microtubules to form branches (Spillane and Gallo, 2014). If AgNP inhibit actin dynamics, then f-actin inclusions may be failed attempts by NSC to form neurites or branches. Alternatively, as not all actin patches give rise to filopodia (Spillane et al., 2013), f-actin inclusions may be actin patches that would not have produced filopodia but still failed to depolymerize. The only complication is the presence of  $\beta$ -catenin within f-actin inclusions. Though Arp2/3, their p35 and p21 subunits, the Arp2/3 recruiter WAVE1, and the actin bundler cortactin have all been reported in actin patches (Spillane et al., 2012; Spillane et al., 2011),  $\beta$ -catenin has not been reported in actin patches specifically. However,  $\beta$ -catenin is known to be localized to the sites of process extension in dendritic spines and axon branches, and is locally transcribed in growth cones (Elul et al., 2003; Kundel et al., 2009; Yu and Malenka, 2004). Therefore,  $\beta$ -catenin may be recruited to actin patches to mediate extension, but AgNP interference instead prevents functionalization and depolymerization, giving rise to f-actin inclusions containing  $\beta$ -catenin.

Given the relative novelty of AgNP as an environmental contaminant, further consideration should also be given to the effects of alternate products generated from AgNP in the environment on NSC behavior and physiology. Traditionally, the breakdown and sulfidation

of AgNP into insoluble Ag<sub>2</sub>S has been thought to remove AgNP from the environment and largely mitigate their toxicity (Levard et al., 2013). Recently, AgNP in wastewater treatment plants have been found to not only break down into Ag<sub>2</sub>S, but also form into Ag<sub>2</sub>S nanoparticles (Ag<sub>2</sub>S-NP) through bacterial processes that are common in natural waters (Kim et al., 2010). There is a distinct lack of research addressing the possible environmental levels of Ag<sub>2</sub>S-NP, or what their possible physiological effects might be. Ag<sub>2</sub>S-NP persist in soil for at least seven months (Sekine et al., 2015), and have some comparable antimicrobial action to AgNP (Kumari et al., 2014). Studies in algae (Jagadeesh et al., 2015) and plants (Wang et al., 2015) have found toxic effects following Ag<sub>2</sub>S-NP exposure. Further, experiments using zebrafish have found that, though 0.1 µg/mL Ag<sub>2</sub>S-NP are not as toxic as AgNP, they can still exert physiological effects such as interference in antioxidant pathways (Devi et al., 2015). Conversely, studies in a fish cell line did not reveal any overt genotoxicity following 0.01-1.0µg/mL Ag<sub>2</sub>S-NP exposure (Munari et al., 2014). Further research is needed to determine what, if any, physiological effects Ag<sub>2</sub>S-NP could have at low concentrations, especially whether or not they share AgNP's ability to translocate to and accumulate in tissues like the brain.

Altogether, these data add to the existing body of knowledge concerning AgNP's effects on NSC function. They confirm AgNP's bioaccumulative nature within the brain and confirm they are capable of disrupting cellular processes such as neurite dynamics and β-catenin signaling even at low exposure levels that do not cause loss of cell viability. However, they also raise further questions regarding the composition of observed f-actin inclusions and how their formation relates to observed changes to NSC physiology and behavior. As these effects are all observed at levels of AgNP that do not reduce cell viability, they raise serious concerns about other effects of AgNP on the brain, and possible effects of increasing environmental AgNP-

derived Ag<sub>2</sub>S-NP following the rise in AgNP use within society. Overall, this research demonstrates that low-level AgNP pose a significant risk to basic neural functions, and that long-term exposure could result in deficits in learning, brain repair, and neurodevelopment.

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Office of Research Integrity

February 9, 2016

Robert Cooper  
Department of Biological Sciences  
Marshall University

Dear Robert:

This letter is in response to the submitted thesis abstract entitled "*Adult Neural Stem Cell Differentiation and Signaling is Disrupted by Low-Level Silver nanoparticle Exposure in Vitro.*" After assessing the abstract it has been deemed not to be human subject research and therefore exempt from oversight of the Marshall University Institutional Review Board (IRB). The Institutional Animal Care and Use Committee (IACUC) has reviewed and approved the study under protocols #530 and #598. The applicable human and animal federal regulations have set forth the criteria utilized in making this determination. If there are any changes to the abstract you provided then you would need to resubmit that information to the Office of Research Integrity for review and a determination.

I appreciate your willingness to submit the abstract for determination. Please feel free to contact the Office of Research Integrity if you have any questions regarding future protocols that may require IRB review.

Sincerely,

Bruce F. Day, ThD, CIP  
Director

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